

Deposition of: **Richard Walton**

May 29, 2020

In the Matter of:

Redstone International Inc vs. Liberty Mutual Fire Insurance Co et al

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CONFIDENTIAL
IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF WEST VIRGINIA
WHEELING DIVISION
REDSTONE INTERNATIONAL, INC.,
Plaintiff Civil Action No.
vs. 5:18-cv-175
LIBERTY MUTUAL FIRE INSURANCE
COMPANY and THE INSURANCE
MARKET, INC.,
Defendants
/
The virtual deposition of RICHARD WALTON was
held on Friday, May 29, 2020, commencing at 9:29
a.m., at the residence of the deponent, 1677
Northgate Drive, Pittsburgh, Pennsylvania 15241,
before Oneeka S. Hill, Notary Public.
REPORTED BY: Oneeka S. Hill

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21	(Appearances continued on the next page.)

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21	ALSO PRESENT: HEATH KEFOVER

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1	PROCEEDINGS
2	
3	Whereupon,
4	RICHARD WALTON,
5	called as a witness, having been first duly sworn to
6	tell the truth, the whole truth and nothing but the
7	truth, was examined and testified as follows:
8	EXAMINATION BY MR. FITZGIBBON:
9	Q Good morning, Mr. Walton. How are you?
10	A Good. How are you?
11	Q I'm all right. My name is Tim
12	Fitzgibbon. I'm one of the attorneys for Liberty
13	Mutual Insurance Company in the lawsuit that
14	Redstone has initiated against Liberty Mutual and
15	The Insurance Market, Inc.
16	I'm going to be asking you some
17	questions today about different issues in that case.
18	I understand you've been deposed before, correct?
19	A Yes.
20	Q How many times?
21	A Five or six, probably.

Page 7 Okay. You're probably familiar with the 1 2 rules, but I'll just run over them quickly. court reporter will be taking down my questions and 3 4 your answers, as well as any questions and answers related to the other parties. 5 Particularly today, since we're doing 6 this remotely, it's difficult enough when we're all 7 sitting around a table --8 Α Sure. 9 10 -- for the court reporter to get 11 everything if you and I are talking at the same 12 So I will try to wait for you to finish your 13 answers before I start asking another question, and 14 I would appreciate it if you would extend the same 15 courtesy and wait for me to finish my questions 16 before you start your answers. It'll just make life easier for the court reporter. 17 It would also help if you can speak 18 slowly and clearly. Again, sometimes we get a 19 20 little bit of delay because of the internet connection. So if you can be as clear as possible, 21

Page 8 it would be a big help. 1 2. Sounds good, yes. Okay. We'll introduce exhibits and 0 3 4 we'll introduce them electronically over the Exhibit Share, and you're connected to the Exhibit Share 5 6 now, correct? 7 Α Yes, sir. I see, I see Exhibit Share Redstone depositions and it's marked exclamation 8 marked exhibits, but the folder is empty. 9 10 Okay. We'll try one shortly and make 11 sure that it works. 12 If you need a break at any time -- I'll 13 try to take a break during the morning, we'll take a 14 lunch break, but if you need a break any time, just 15 speak up and we'll try to take a break. 16 If you are unable -- or, if I ask a question, and sometimes I do, that you don't 17 understand, please feel free to ask me to rephrase 18 19 If you answer I'm going to assume that you 20 understood the question and answered to the best of your ability. Okay? 21

		Page 9
1	А	Uh-huh. Sounds good.
2	Q	Where are you right now?
3	А	I am in my office in Belle Vernon,
4	Pennsylvani	a. It's one of my field offices.
5	Q	Did you say Malvern?
6	A	Belle Vernon.
7	Q	Okay, Belle Vernon. And what is your
8	residence a	ddress please?
9	А	1677 Northgate Drive, Pittsburgh,
10	Pennsylvani	a 15241.
11	Q	Thank you. Where is your office
12	normally?	
13	A	I have an office in Canonsburg as well,
14	at Southpoi	nte.
15	Q	Okay. Is anyone else in your office
16	with you ri	ght now?
17	A	There is not anyone in my office, in my
18	individual	office, in the building there is other
19	people.	
20	Q	Okay. And you have your cell phone with
21	you right n	ow?

	Page 10
1	A Uh-huh. I do.
2	Q And again, I'm just going to ask that
3	during the deposition you do not communicate with
4	anyone
5	A Sure.
6	Q over your cell phone or over your
7	computer?
8	A And I just want to I have I might
9	ask you just like every 15 minutes, if I can just
10	look at my phone, would that be okay; just because
11	people will have questions for me and related to
12	my day-to-day operations of my business?
13	Q I certainly don't mind taking a break
14	every hour, or so, for you to do that. I think
15	doing it every 15 minutes will be a little
16	disruptive to the deposition.
17	A Okay, that's fine.
18	Q You just speak up when you need to check
19	your e-mail and we'll take a break.
20	A Okay. Very good. I will. Thank you
21	very much.

Page 11 And, do you understand that today, 1 2 you'll be testifying as to certain issues in a capacity as the corporate representative of Redstone 3 International, Inc.? 4 5 Yes. Yes. Α Am I the -- I didn't know if I'm the --6 7 am I the sole corporate representative for Redstone, International or --8 Let's try to help you with that and 9 we'll try one of the exhibits and see how we do. 10 11 You should be getting what I'm going to 12 mark as RW-1, and you may have to hit your refresh 13 button the Exhibit Share. 14 Have you gotten that? (Walton Exhibit 1 was marked for 15 16 purposes of identification.) I just pushed the refresh button. Got 17 Α it. 18 What I've marked as Exhibit No. 1 is an 19 0 20 Amended Notice of Deposition for the corporate 21 designee of plaintiff, Redstone International, Inc.

Do you see that? A I do. Q And have you seen this document before? A I have. Q When did you see it?	
2 A I do. 3 Q And have you seen this document before? 4 A I have.	
4 A I have.	
4 A I have.	
y when did you bee it.	
6 A I don't remember, but, I mean, I've	
7 I'm sure I've I mean, I've been you know	
8 I've seen all the e-mails that have transpired	
9 related to it. So I'm sure I have opened it and	
10 looked at it. I don't recollect the exact of it.	
Q Okay. If you would scroll to the last	
page, it should be Exhibit A deposition topics, do	
13 you see that?	
14 A Yeah, I do see that.	
Q Okay. And when you asked me earlier	
whether you were the corporate designee and the sole	
corporate designee for everything, I believe that	
Redstone has designated you for topics as the	
corporate designee for topics 3 through 11.	
20 A Sounds good.	
Q Okay. And, you are not the sole	

Page 13 corporate designee with respect to some of those 1 2 topics. Okay? Sounds good. Thank you. 3 Α 4 0 So you understand that when I ask you questions about topics 3 through 11 today, your 5 answers will be the answers of Redstone 6 7 International, Inc., and will be binding on Redstone, correct? 8 Α Understood. 9 10 Okay. Now, you mentioned earlier that Q 11 you were deposed maybe five or six times before. 12 Were you deposed in any underlying 13 actions in this case? 14 MR. JACKS: Excuse me, Tim. Can we just 15 go ahead and load my designation there, so he could 16 see there -- I think some of those there were combination designations. I think he was --17 18 MR. FITZGIBBON: Let me go back and get it from -- I believe we marked it at Terry 19 20 Cunningham's. So let me get it from Terry 21 Cunningham's.

```
Page 14
                              Thanks. I just want him to
                 MR. JACKS:
1
 2
     see how that was spread out.
                 MR. FITZGIBBON: No problem. It'll take
 3
     me a minute to find it.
 4
 5
                 There it is. Bear with me while I get
     it into this.
 6
     BY MR. FITZGIBBON:
 7
                 All right. You should have the
8
     plaintiff's designation of 30 B6 witnesses. Do you
9
10
     see that?
11
           Α
                 Do I have to -- I went back. Yeah, and
12
     I'm refreshing.
13
           Q
                 Yep.
14
           Α
                 There we go.
15
                 Do you have it?
           0
16
           Α
                 I do, sir.
                 All right. I'm going to mark that as
17
           Q
     RW-2, and if you will scroll to page -- pages 2 and
18
     3.
19
20
                  (Walton Exhibit 2 was marked for
21
     purposes of identification.)
```

Page 15 Α Uh-huh. 1 You'll see that with respect to topic 2 Q No. 3, you and Mr. Terry Cunningham were the 3 4 corporate -- designated as the corporate designees of Redstone. You are the sole designee with respect 5 to 4 and 5, and you and Mr. Heath Kefover are the 6 corporate designees with respect to topics 6 through 7 9, and you are the sole designee on topics 10 and 8 11. 9 10 Do you see that? 11 Α I do. Okay. Now, you had -- I think I was 12 Q 13 asking you before whether you had been deposed in 14 any of the litigation in the underlying actions to 15 this coverage suit? 16 I was the -- I believe there was one deposition. 17 18 Okay. And other than the deposition 19 that you gave in the underlying actions, what other matters have you given depositions in? 20 2.1 Various forms of commercial litigation Α

	Page 16
1	not pertaining to this, to this case.
2	Q Any of those cases pertaining to
3	commercial litigation involving Redstone?
4	A I don't believe so.
5	Q Okay. When did you join Redstone?
6	A 2013, I think. 2012, 2013.
7	Q And how did you come to join Redstone?
8	A I had a historical relationship with
9	some of the shareholders there and there was they
10	were just interested in me, you know, helping out
11	with the business. I had some free time. So I
12	joined the team and took a job there, and the goal
13	was to kind of, you know, get equity over time in
14	the company.
15	So yeah, I just took a job helping
16	manage the business.
17	Q Did you have equity in the company when
18	you first joined?
19	A I did not, not day one.
20	Q But when you joined, you were the CEO a
21	the CFO?

	Page 17
1	A Yes.
2	Q On day one?
3	A That's correct.
4	Q And, were you, for the duration of your
5	tenure at Redstone, always the CEO and the CFO?
6	A That is correct.
7	Q And when did you leave Redstone?
8	A I left Redstone let's say it was 2016
9	or 2017 when I formally resigned.
10	Q And you left the company because you
11	resigned from the company?
12	A Yeah, I, I just didn't have the time any
13	more to devote to it. So, you know, I was I'm an
14	entrepreneur and I have various businesses, and that
15	was, you know, had been an area I had focussed on
16	for a period of time. I just couldn't dedicate the
17	amount of time required to it anymore.
18	So, it was, you know, a company I
19	really, you know, loved and a lot of good people
20	there; just, you know, really just a matter of
21	I'm involved as a, you know, advising and you

Page 18 know -- very limited fashion, but as an employee I 1 2 was no longer involved. But you, at some point along the way, 3 Q 4 acquired equity in the company, correct? That's correct. 5 Α And you still hold that equity? 6 0 I do. 7 Α How much of the company do you own? 8 Q 10 percent. 9 Α 10 Before you joined Redstone, had you had Q any previous experience in construction? 11 12 I had some related to construction, more 13 on the oil and gas side, but from a heavy civil 14 construction standpoint, it was really kind of my first entry into, you know, managing a construction 15 16 business. And when you arrived at Redstone, Heath 17 Kefover was the president? 18 19 He was, and he remains in that position. Α And he was president throughout your 20 0 21 tenure --

	Page 19
1	A Uh-huh.
2	Q and through to this day?
3	A Uh-huh. That's correct.
4	Q Okay. When you left Redstone, what did
5	you do next?
6	A I bought a trash hauling and landfill
7	company, and I've done that to this day.
8	Q And, do you engage any services of
9	Redstone in connection with that company?
10	A Some, yeah, on a limited basis. There's
11	some we have some water detection wells that need
12	to be decommissioned and grouted and then kind of
13	you know we have to redrill new ones and they do
14	that work for us. I'm trying to think of some other
15	work they may have done.
16	They drilled a couple micropiles for a
17	foundation for thermal oxidizer, which is kind of a
18	high efficiency player. I'm trying to think of what
19	else they would have done.
20	I think that's, I think that's it. I
21	think there may have been some odds and end jobs, no

Page 20 incredibly large jobs or anything, you know, sub six 1 2 figure. Okay. And throughout your tenure at 3 0 4 Redstone, where did you work? 5 You know, really, I was always tried to be where the jobs were, as much as possible, whether 6 it was, you know, Tennessee. You know, we had some 7 jobs in New York City that I worked on for a while. 8 You know, we had our main office in Uniontown, where 9 10 we had -- you know -- we had a secretary there and 11 then -- you know -- but generally, you know, we were 12 all on the road a lot. 13 The company or you personally? Q 14 Α Myself and most of the people in the 15 company, and we had our shop in Uniontown. 16 Q So, you had a headquarters and a shop in Uniontown? 17 We did have our shop in Uniontown, kind 18 of wherever Heath was is where -- is really kind of 19 the center is where things were from an operational 20 standpoint, in terms of, like, you know, evaluating 21

	Page 21
1	future jobs and estimating, et cetera.
2	Q When you said you had a shop in
3	Uniontown, what did the shop do?
4	A Repairs on equipment was predominantly
5	the focus.
6	Q And headquarters were also in Uniontown?
7	A Yes, the mailing address was in
8	Uniontown.
9	Q And, was that throughout your tenure at
10	Redstone?
11	A We, we changed to a shop in, in Scenery
12	Hill, Pennsylvania.
13	Q Did the shop move to Scenery Hill or did
14	the whole headquarters, shop operation move to
15	Scenery Hill?
16	A Just, just the shop.
17	Q And so, did the headquarters stay in
18	Uniontown?
19	A The headquarters really yeah, we had
20	our mailing address at Scenery Hill.
21	Q So the headquarters moved to Scenery

	Page 22
1	Hill as well?
2	A Uh-huh.
3	Q Okay. Now, had you met Mr. Heath
4	Kefover before you joined Redstone?
5	A I did.
6	Q How did you know Mr. Kefover?
7	A Through a mutual friend.
8	Q And how long before you joined Redstone,
9	did you meet him for the first time?
10	A Probably was it probably was six
11	months I'd say. It wasn't like I knew him for 20
12	years or anything.
13	Q Did you meet him in the context of
14	considering joining the company?
15	A Yes, earlier on. Yeah. Yes.
16	Q So, before you started considering
17	joining the company, you didn't know him? You did
18	not know him, correct?
19	A I did not, no.
20	Q And I think you've described him
21	elsewhere as, the smartest person related to

	Page 23
1	construction that you know?
2	A I agree.
3	Q Is that accurate?
4	A That is accurate. He is a very good
5	constructor.
6	Q And Mr. Kefover testified that when it
7	came down to sort of the division of labor between
8	the two of you, he was the on-site project guy to
9	get the project done, and I think he described you
10	as the financial contracts insurance guy.
11	Is that an accurate reflect of the
12	division of labor between the two of you?
13	A Yeah. I would probably I think it's
14	a very good way to say it. I think, also you
15	think about it, I was looking at it from a risk
16	perspective, right.
17	So Heath was execution and I was risk
18	management, and you know, profitability.
19	Q Did you have experience with insurance
20	before you came to Redstone?
21	A Some, yeah, not, not a vast amount of

	Page 24
1	experience, but I, I had purchased
2	commercial-related insurance policies before.
3	Q And in what context had you done that?
4	A Real estate ownership.
5	Q What kind of real estate?
6	A Commercial real estate that I had owned
7	certain partnerships.
8	Q And where were those located?
9	A They were in Pennsylvania, some in West
10	Virginia. That would be it, yeah.
11	Q Okay. When you arrived at Redstone
12	and I think you said you started some time in 2012
13	or 2013, right?
14	A Uh-huh. That's correct.
15	Q I think Mr. Kefover told us that the
16	company was founded in 2012?
17	A Yeah, that's correct. February, 2012 it
18	was founded.
19	Q But, you were not there in the
20	beginning, right?
21	A I was not.

Page 25 And so, how many projects was the 1 2 company working on when you joined? Α They were working on the -- what was the 3 name of that project? 4 There was a Clarksville, Tennessee 5 project, and there was a couple different oil and 6 7 gas projects. So when I joined, the company was doing a fair amount of oil and gas-related work, but 8 Heath's, you know, kind of poor competency was kind of like in heavy silicone construction. 10 11 So one of the things that Heath and I 12 kind of worked together on was focusing on what 13 we're good at, which was, you know, the civil 14 construction side. And you know, kind of moving away from the more cyclical oil and gas service 15 16 work. So, you know, at that time there wasn't 17 a lot of civil construction jobs going on. I felt 18 like there was another one that was finishing up, 19 20 but the large one that was going on at the time 21 would have been the Clarksville, Tennessee job.

	Page 26
1	Q Okay. You mentioned a couple of oil and
2	gas jobs. Do you know where they were located?
3	A All Pennsylvania, Ohio and West
4	Virginia.
5	Q And in discovery responses that Redstone
6	has provided in this case, it stated that in 2014,
7	it was performing services in Kentucky, New York,
8	Ohio, Pennsylvania, Tennessee and West Virginia, is
9	that correct, to the best of your recollection?
10	A That sounds right, yeah.
11	Q Can you think of any other states in
12	which it was performing services in Redstone was
13	performing services in 2014?
14	A Yeah. In 2014?
15	You said Kentucky, Tennessee, Ohio
16	Q New York?
17	A New York, yeah, definitely.
18	Q Ohio?
19	A Ohio, Pennsylvania, West Virginia.
20	Q Yes.
21	A The only other state I could think of

Page 27 would be Connecticut, potentially. I just don't 1 2 remember the timing of the jobs. What job was in Connecticut? 3 Q 4 Α It would have been the -- God, what was 5 that job? 6 It was some train station job. I forget the name of it. 7 What about Maryland; was it performing 8 any services in Maryland? 10 I'm sorry, that's correct. They may not 11 have been doing it at the time. I know the company 12 had historically performed services in Maryland. 13 Q Okay. And going into 2015, in 14 Redstone's discovery responses, it said that it also added services in North Carolina, South Carolina and 15 16 Virginia in 2015. 17 Is that consistent with your recollection? 18 19 Yeah, the -- yeah, there was a -- trying to remember the Carolina jobs. I don't recollect 20 21 the -- I know there was a contractor we worked with

Page 28 down south, like, a prime contractor, but yes, there 1 2 was jobs like, in the Virginia, Carolinas. I don't remember the exact jobs. 3 Okay. And now, other than the states 4 5 that we've mentioned, can you think of any other states that Redstone performed services in during 6 7 your tenure at Redstone? We did a job in Alabama. I'm not sure. 8 I don't, I don't recall. I think that would be 9 pretty exhaustive, but there is --10 11 I'm sorry, go ahead. 12 Α There is a scenario I don't remember, 13 but I think that's a relatively exhaustive list. 14 And Mr. Kefover told us that, for every 15 job that Redstone got, it submitted proposals for 16 multiple others, is that a fair statement? Oh, yeah. 17 Α Yeah. Were you involved in the preparation of 18 0 19 proposals during your tenure at Redstone? 20 Α I was. 2.1 Other than the states that we've Q

Page 29 mentioned, I think you just mentioned a bid that 1 2 Redstone did not get in Alabama --Α That's correct. 3 Can you think of any other states in 4 which Redstone submitted bids, other than the ones 5 we've mentioned so far, and did not get the work 6 during your tenure at Redstone? 7 I, I do not. I do not recall. 8 remember the West Virginia one because Heath and I 9 were down there for, for a while and the food was 10 11 really bad. So, we got sideswiped at the end of 12 that. 13 Q Was it your responsibility to handle the 14 insurance with respect to the various projects that 15 Redstone was engaged in during your tenure there? 16 Α I mean, I definitely helped with the insurance. Like I said, in terms of job 17 delineation, I think insurance definitely falls 18 19 within that risk management category. 20 0 Again, fair to say that you were responsible principally for the insurance side of 21

Page 30 things, in terms of the Redstone operations? 1 2. Correct. And that Mr. Kefover was principally 3 0 4 responsible for the actual construction or other 5 work that Redstone was doing on a particular 6 project? Α Correct. Other than the Liberty Mutual policy 8 that's at issue in this case, how many other 9 commercial general liability policies did you obtain 10 11 for Redstone during your tenure there? 12 I, I would -- I'm not sure of that exact 13 number. It would have been -- I'm not sure if we 14 left Liberty Mutual while I was there or not. 15 know that we had obtained Liberty Mutual while I was 16 there. So, there is definitely one prior, and other than that, I would be speculating. 17 And would the one prior be Kinsale? 18 0 19 That sounds right, yeah. 20 0 Okay. During your tenure at Redstone, was there ever a time when Redstone had multiple 21

Page 31 commercial general liability policies covering the 1 2 same time period? I just don't recollect that. I would 3 4 assume no because there would be, you know, a policy end and a policy begin, you know, within individual 5 lines, whether it's GO, auto, comp. 6 Okay. On the various projects that --7 0 8 in the states that we just mentioned, did Redstone employ subcontractors? 9 10 Not usually. I mean, we rented equipment, but very rarely did we -- you know --11 12 we'd buy, we'd buy concrete, but our guys, you know, 13 would pump it, you know, through our grout pumps. 14 Not that, not that I can -- not that I 15 can recollect, no. 16 Q What was your role in preparing proposals for Redstone to submit for various 17 projects? 18 19 So Heath really -- you know -- as you can imagine in proposals, there's various inputs. 20 21 So, you know, as Heath was kind of the

Page 32 master of production and you know, getting the job 1 2 done, you know. He obviously was responsible for kind of, you know, what we thought would be the 3 4 timing and -- of completion on a job, you know, and he was obviously going to be the one who would 5 6 develop the means and the methods. So, you know, would this go, and then 7 this would be stacked on that or -- you know --8 would this be drilled, and then have to be grouted 9 10 and redrilled or -- you know -- he would be 11 developing that overall approach to constructing the 12 job and determining, and determining how quickly 13 that job would be performed. 14 You know, he also had a very practical 15 mind as it related to what would be like a potential 16 outside risk on a job, whether it be third-party quality assuring a certain part of the project. 17 You know, I mean, he was highly involved 18 in all of it, you know, and I think before any bid 19 20 went out, you know -- you know -- I was ultimately -- you know -- we would have a discussion. We'd go 21

Page 33 over the job, dive into the prints. 1 2 We'd go over the details but, at the end of the day, Heath was the guy who, you know, kind of 3 4 gave the blessing on the bid because, you know, he was the one who was going to, at the end of the day, 5 6 be responsible to build it. And you know, we had a very good, you 7 know, relationship, where I was kind of, you know, 8 focussed on kind of watching his back on the things 9 that, you know, I felt like I could control and in 10 11 areas where I felt like, you know -- you know -- he 12 was focused on executing, you know, trying to kind 13 of cover any blind spots so that he wasn't blind-sided. 14 15 And you just mentioned that you tried to 16 contribute in the areas that you could control. Can you give me an idea substantively 17 what those were? 18 19 Α Costs. You know, costs would be a big 20 thing, you know; try to manage costs anywhere I 21 could.

Page 34 You know, that would probably be the 1 2 biggest, the biggest area. And how would you describe during your 3 4 tenure at Redstone, your working relationship with Mr. Kefover? 5 Fantastic. Great friend. 6 Α 7 And on a typical workday when you were 0 at Redstone, how often would you communicate with 8 Mr. Kefover? 9 Oh, all the time. I mean, it depended 10 on the works that we had that day, right, you know. 11 12 If he was -- you know -- if he was --13 where he was or what he was doing, or where I was or 14 what I was doing, right, but ultimately, we became partners and you know, we were kind of -- you 15 16 know -- we kind of did our best to divide and conquer what we could, you know, right; like any 17 business getting, getting going, right. 18 19 Now, with respect to the Mobley project -- and when I say the "Mobley project," I 20 want to make sure we're talking about the same thing 21

Page 35 and it's the subject matter of the litigation, which 1 2 is the retaining wall project at Mobley, West Virginia. Okay? 3 4 Yes, sir. Understood. 5 With respect to the Mobley project, Mr. Kefover testified that he was there just about 6 every day from sometime in September or October of 7 8 2014, through approximately March of 2015. He was on site every day? 9 10 Α He was. 11 Fair enough. 0 I think that is -- I think he may have 12 Α 13 -- I think he may have lived there at one point. I'm serious. 14 15 But no, I mean, he was, he was all in 16 there. And during that period of time, how 17 Q often would you communicate with him while he was at 18 19 Mobley? Very consistent, you know, sourcing 20 Α 21 materials. You know, just doing whatever I could to

Page 36 support kind of the -- you know -- that was, that 1 was the -- that was the company at that point, 2 right. 3 4 Like, that was the -- you know -- we kind of shifted all resources to that project, you 5 know, for the entire company, just given the size of 6 7 the projects and, and -- you know -- what we thought was a very good project. 8 I still tend to think it's a good 9 project. But yeah, I mean, consistently. Right. 10 11 Well, during the period of time that 12 Redstone was working on the Mobley site, it had 13 other projects that it was working on, didn't it? 14 It would -- if there was -- you know --15 I have to remember. There were probably some that 16 were kind of winding down, but in terms of like focus, you know, we weren't out trying to, you know, 17 overload ourselves at that point; like, we 18 consistently had a bid pipe line, you know. 19 20 But, it would have been for stuff, you know, further out, and you know, given some of the 21

Page 37 timing challenges on this project, you know, when 1 you're, you know, managing resources, right, and 2 executing those projects on time, you know, and 3 4 safely to make a profit and -- you know -obviously, there was multiple variables unforeseen 5 6 on this project. 7 So, you know, as we kind of -- on the, you know, mid to latter end of the project, you 8 know, started kind of bidding and procuring more 9 work, we would have had other jobs because they 10 11 probably would have -- we probably were hopeful that 12 the Mobley job were done, candidly, but they 13 weren't. So, it wasn't. 14 So, we had to start another job. 15 And I think you previously described 16 your involvement at Mobley as you being the least involved day-to-day at the Mobley site of any of the 17 Redstone folks? 18 That would be correct. 19 Α 20 0 And so, during that period of time, you were principally back at the Uniontown office? 21

Page 38 I would principally be either -- I'd be 1 2 in Uniontown. I would be -- trying to think where -- yeah, probably be in Uniontown most of the 3 4 time. 5 The reason I'm thinking is, at that point I kind of -- you know -- there was a point I 6 started wearing multiple hats in different 7 companies. So, you know, my -- I think at that 8 point in time, I still would have been in Uniontown. 9 10 And you also worked from home Q 11 periodically during that time? 12 Α Home? 13 We had another business -- or I did that 14 -- you know -- I would be in -- I'd be in Waynesboro 15 a lot, Clarksburg a lot, just for oil and gas 16 construction work. So, you know, if I was -- if I had 17 something to do with Kathy or -- who was the 18 secretary there at the time. But I have quite a 19 hectic life, if you can't tell by me asking to check 20 21 my phone.

Page 39 So the -- you know -- how often was I at 1 that office? 2 Maybe one day a week, you know. I think 3 4 that's probably the -- certainly could be three 5 days. Could there be two weeks where I wasn't 6 there? 7 Both. 8 So I would say, on average, one to two days a week. 9 10 When did you first become aware that 11 there were problems with respect to the Mobley 12 project of any sort? 13 Α Probably the moment we started dealing 14 -- we started talking to Greg Hadjs, who was the 15 president of JF Allen. It was probably my general 16 concern. It's just, just seems like this was 17 going to be a litigious scenario. You know, there 18 are certain people that you go into jobs with and 19 there seems to be a constructive discourse, and 20 21 there are certain who don't. So I think that's

Page 40 where I -- you know -- as we kind of started working 1 2 with them, I'm like, this could be a challenging project. 3 4 Yeah, that's probably when I first 5 realized -- you know -- and I remember explicitly saying to Heath, you know, "We'd better take some 6 really good notes here." 7 And Heath was working there day and 8 night, and I think he said to me, you know, "It's 9 10 hard for me to be a professional note-taker when I'm 11 trying to build a -- one of the largest walls in 12 America, you know, in the middle of the winter with 13 crews running 24/7." 14 I'm just like, "I don't know what to 15 tell you, you know. You've just got to find a way 16 because this is, this is going to be a challenge." And JF Allen Company is the other party 17 Q to the subcontract with Redstone at the site, 18 19 correct? They would have been the 20 Α Yes, sir. prime contractor directly with the owner of the 21

Page 41 site. 1 2. And, who was Mr. Hadjs -- or, his role Q was project manager for JF Allen? 3 President. 4 Α 5 0 Oh, president. Okay. And, do you recall when you first 6 started dealing with Mr. Hadjs? 7 On the contracting part of it, really. 8 I mean, there was -- you know -- when we started 9 getting into the contracting part of it and then I 10 11 really started dealing with Greg, and then, you 12 know, as the -- you know -- the job started 13 progressing, you know, obviously, we're 14 communicating more, you know. He's communicating 15 with Heath a lot. 16 And yeah, that would be the primary time we started communicating with him. 17 And, did Mr. Kefover express to you his 18 concerns or dissatisfaction with JF Allen at the 19 site? 20 2.1 I'm honestly -- you know -- initially, Α

Page 42 there wasn't like a major kind of execution concern 1 2 with JF Allen. I think candidly, right, jobs getting going, you know, I just kind of had -- you 3 4 know -- we had done a few jobs at that point. 5 had kind of sent some people, because Redstone 6 generally is working as a sub to some kind of major civil contractor. 7 And you know, at that point in time, you 8 know, on the job, there was a pretty good younger PM 9 out on the -- project manager on the JF Allen side. 10 11 What's his name? 12 Ted Garrett, and we had always 13 dealt with Ed Farris, the estimator, who was pretty 14 reasonable. 15 So, you know -- when we were bidding the 16 project, that is. You know, and Ted was -- seemed to be a 17 good kind of go-getting guy, and the job, you know, 18 getting rolling, you know, working well, you know. 19 20 I think my apprehension was, you know, like I had kind of introduced, you know, or kind of 21

	Page 43
1	said to you earlier, you know, once we started
2	contracting with them, you know, and that just kind
3	of reared its head a little bit, you know. That's
4	why I told him to take copious notes.
5	Q So, your advice was to be careful?
6	A Essentially, yeah.
7	MR. FITZGIBBON: I'm going to mark
8	another exhibit. This should be, I think, RW-3.
9	(Walton Exhibit 3 was marked for
10	purposes of identification.)
11	A Just backing out of this one and I'm
12	going into the going to refresh.
13	Q Okay. Do you have it?
14	A Yep.
15	Q What I've marked as Exhibit RW-3, it
16	appears to be an e-mail or an e-mail trail. The
17	top of which appears to be an e-mail, February 10,
18	2015 from you to Greg Hadjs.
19	Do you see that?
20	A I do.
21	Q And if you scroll down to the bottom of

Page 44 the first page of the exhibit, you'll see an e-mail 1 2 from Mr. Kefover to Ted Garrett and to Jeff Waggett, with a cc. to you, and the subject matter is "Mobley 3 Office trailer." 4 5 Do you see that? Yes, sir, I do. 6 Α And is that Ted Garrett -- is that who 7 0 you just mentioned as the young --8 Α Project manager. 9 10 Project manager? Q 11 Α That is correct. 12 0 And who is Jeff Waggett? 13 Α Jeff Waggett was one of our project 14 managers. Okay. And Mr. Kefover's e-mail 15 16 indicates to Mr. Garrett that he is going to be moving into a small mobile mini that's being 17 delivered. And it's advising Mr. Garrett to make 18 19 arrangements for a cell phone booster and WI-FI for 20 his trailer because "we will be removing it." 2.1 Do you see that?

Page 45 That's correct. 1 Α Can you tell me, what did Mr. Kefover 2 0 tell you about why he was moving out of -- well, 3 first of all, did Mr. Kefover discuss this with you 4 before he moved out of the trailer? 5 Yeah. You know, Greq was very 6 Α disruptive to the operation of our business, and you 7 know, we just really needed to create some kind of 8 delineation. And additionally, you know, we wanted 9 to make sure we were keeping our paperwork and you 10 11 know, we just -- there needed to be a silo, right. Heath needed to take a call related to 12 13 something or a business, you know, just -- it's hard to kind of explain the awkwardness of some of the JF 14 Allen folks with us. 15 16 Q This would be an example of sort of early on, your concern about the relationship 17 between Redstone and JF Allen at the site? 18 19 Α Right. Okay. And, did that tension increase 20 0 after February 2015? 21

Page 46 I don't think, like, this was like a 1 2 moment when tension generally -- you know -generally prompted tension. You know, this was kind 3 4 of standard, you know, Greg sending some kind of emotional e-mail, which is kind of standard 5 practice. There's just kind of flare-ups and kind 6 of flare-downs, you know. 7 I'm not sure -- you know, I think Ted 8 still had probably a pretty good working 9 10 relationship with the guys out there. I don't know 11 if it would have really, you know, hurt. 12 You know, I just don't really remember 13 explicitly, but, you know, when Ted was there, things were still pretty good. I can tell you that. 14 That's what I recall. 15 16 So you don't recall pointing to this incident as an indication that -- and I think your 17 words were "things were starting to go sideways with 18 JF Allen at the site"? 19 20 This was a part of the overall kind of Α 21 Greg epidemic. You know, it was the challenge of

Page 47 communication with him proliferating amongst more 1 2 than just myself and the, and the challenging dialogue, you know, with which he creates, as you 3 4 can even see by his own kind of -- you know -- kind 5 of senior manager in Ted Garrett, you know. You know, I would deem to be a, you 6 7 know, young, smart, very smart quy, you know, who ultimately just said, you know, I'm not going to be 8 a part of your, you know, your dispute. 9 10 MR. FITZGIBBON: I'm going to 11 introduce RW-4. Let me know when you've had a 12 chance to review that document please. 13 (Walton Exhibit 4 was marked for 14 purposes of identification.) 15 Just pushing refresh. It is there. Α 16 It's RW-4. I got confused. Again, what I've marked as RW-4 is 17 Q another e-mail trail. The top e-mail is an e-mail. 18 19 It appears to be an e-mail from you to Mr. Hadjs dated March 15 2015. 20 2.1 Do you see that?

Page 48 Yes, sir. 1 Α And the second e-mail in the trail is a 2 0 e-mail from an individual named Brian Leatherman to 3 4 Mr. Hadis and to a Delbert Leatherman. 5 Do you see that? Α Yes, sir, I do. 6 And who is Brian Leatherman? 7 0 So I don't remember exactly when that Α 8 was, but Ted left the company, JF Allen company, and 9 they brought out a new PM, Brian Leatherman, who is 10 11 one of their paving project managers and he replaced 12 Mr. Garrett. 13 And, do you recall what prompted this Q 14 e-mail exchange? 15 Likely -- it would be speculation, the prompting, but my assumption would have been that 16 Greg wanted their to be some documentation related 17 to some kind of chink in the armor. So that, you 18 19 know, we could use it as discovery five years later, 20 and you know, hence, the paper trail required. 2.1 Do I think that we had -- I think we had

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guys working out there and you know, working their tails off, and I think this is just some, you know, BS e-mail.

Q And, do you recall describing this exchange as a further example of things starting to go sideways between Redstone and JF Allen, and JF Allen using this as an effort to undermine Redstone?

Would be a perfect way to say it, sir, and I think you can see kind of by the tone of my e-mail that, I'm trying to broker some peace in this overall --you know -- in this overall goal and just trying to take a step back in kind of the risk mitigation responsibility, where -- you know -- what Greg never understood, and to this day, still does not seem to understand is that, he and us were in this contract together to build this wall and you know, we needed to work together, you know, and sometimes that required push back on the customer and not just push down onto Redstone.

But unfortunately, that was kind of not

Page 50 the mode of operation. It kind of -- you know --1 2 the -- what the hunch that I had unfortunately was true and -- you know -- and this is a perfect 3 manifestation of that. 4 And when you say "sometimes it requires 5 -- I'm sorry, Mike. Go ahead. 6 7 MR. JACKS: In your last question, I 8 think you said something like, "Do you recall referring?" 9 10 I'm assuming you're talking about 11 Mr. Walton's prior deposition in the underlying 12 case, is that what you're talking about? 13 MR. FITZGIBBON: Yeah. 14 MR. JACKS: So I just wanted -- when you 15 preface questions like that, I'm just going to 16 assume we're talking about that depo. Unless there's some dispute about it, I don't think we need 17 to introduce it here. 18 19 MR. FITZGIBBON: Well, the only reason I would need to introduce it is if I need to refresh 20 his recollection or if the answer is inconsistent 2.1

	Page 51
1	with a prior one.
2	MR. JACKS: Okay, that's fine.
3	THE WITNESS: Yeah. Counselor, I think
4	I'm not going to remember. I will tell you I didn't
5	read my deposition, so but, you know, the facts
6	are the facts.
7	But, my memory has eroded. So I just
8	you know if there's inconsistent you know
9	if there's any questions, just you know if I
10	jog my memory, I can get it there.
11	BY MR. FITZGIBBON:
12	Q Mr. Walton, I'm sorry. I didn't hear
13	the part.
14	You said you did read your prior
15	deposition from the underlying case or you did not?
16	A I did not.
17	Q In preparation for this?
18	A I did not.
19	Q What did you do in preparation for this
20	deposition?
21	A I reviewed some historical e-mails. I

Page 52 talked to Mike. That was about it. 1 2 Not a whole -- I'm not going to -- not as much as Mike probably wanted me to. 3 By "Mike," you're referring to 4 Mr. Jacks. 5 Did you have occasion to talk with 6 7 Mr. Kefover prior to your deposition to prepare for it? 8 I talked to Heath candidly. We talked 9 very little about the deposition. 10 11 I talked to him this morning. I talked 12 to -- you know -- but I called him this morning 13 about a bull gear on a drill that I own, needing to 14 get rebuilt, and I was asking if he had heard anything from the shop, the one shop that he knows, 15 16 and then we talked about this for about 15 minutes. And was Mr. Jacks on the line during 17 Q that call? 18 19 Α He was not. 20 0 Okay. And so, what did Mr. Kefover tell you about this deposition? 21

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He told me -- we actually kind of talked what we understood about yesterday, which was that -- I essentially said to him -- you know -- I essentially said to him that I don't understand how The Insurance Market didn't give us the coverage and if we would have -- I mean, you're going to get me right to the point, but, you know, if there was a --I looked at the contract stuff last night and you know, I asked explicitly for the coverage and you know, if there was gas coverage, you know, just pay for it. So, you know, I just -- you know -- that was really it. And then we kind of -- you know -we didn't understand how, how, how it didn't -- you know -- I would do the e-mail. I'm the one who would care very much about putting things into writing and you know, when I tried to make it as simple as possible -- and I just kind of was talking to him about how I just didn't understand how it

That was really the under oath -- the

could ever get this messed up.

Page 54 truth of what our conversation was this morning. 1 2. What did Mr. Kefover say in response to that? 3 4 Α That, that -- well, I'm under oath. So 5 I'm going to tell you exactly what he said. We now learned -- you know -- Roger had 6 a master's degree in kinesiology and seemed to 7 8 manifest in his overall insurance analysis that was performed, and that was kind of it. 9 10 And when you just made the reference to 11 Mr. Waters having a master's in kinesiology and it manifested itself in, in this instance, what did you 12 13 interpret that to mean? 14 I think it meant that, you know, we provided -- you know -- you know, I provided Roger 15 16 with -- if I refer to Roger, you tell me what you want. Roger or Mr. Waters? 17 18 Either one. 19 Okay. I told Roger the e-mail about the 20 contract. You know, I thought it was pretty, pretty You know, this was a, a big kind of risk 21 explicit.

Page 55 for us, you know, going into this job and you know, 1 I wanted to be kind of belt and suspenders, you 2 know, safe. 3 4 But, you know, he was our, he was our 5 broker and that was the insurance that, you know, 6 that was the insurance that we got. 7 So, you know, again, I'm not a, I'm not a lawyer and you know, kind of expected to get what, 8 you know, what we asked for. 9 10 Have you reviewed the amended complaint 11 in this case, that Redstone file against Liberty 12 Mutual and The Insurance Market? 13 Α Sir, I probably have. I just don't 14 recall. I just --15 There is an allegation in paragraph 22 16 of that complaint that says that Redstone was completely reliant upon The Insurance Market to 17 provide the insurance that Redstone needed. 18 Is that a fair statement? 19 I believe that is. I believe that is a 20 Α 2.1 fair statement.

Page 56 So your communications about insurance 1 2 for the Mobley project during this time period, the Liberty -- the time period of the Liberty Mutual 3 4 policy, your communications about obtaining 5 insurance for the project were strictly with Mr. Waters? 6 I do remember. I was looking at this in 7 a an e-mail before and I vaquely recall this, but 8 that we may have sent it to other brokers to, to 9 look at and I can't remember if that was that --10 11 that was this year or prior. I think it was this 12 year, the year we ended up going with Liberty. 13 So, there was likely other communication 14 occurring with another broker evaluating other 15 insurance proposals. 16 Q But, you did not communicate, did you, directly with any of the insurance carriers? 17 No, sir, I did not. 18 19 Let me go back for a minute to the Mobley site and we were -- we looked at an e-mail 20 from February and an e-mail from March, and things 21

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Page 57 are -- to use your word, "going sideways" a bit with JF Allen, certainly by March 15th, which is the date of RW-4 that we just marked. Did they continue to go sideways even more when the anchors began sheering at the site? You know, not, not really because there Α wasn't like a, there wasn't like a major cause for You know, the -- you know, it was still, it was still relatively constructive at that time and not -- you know -- there was some anchors that broke. You know, that, that can happen for various reasons, and you know, you just -- you repair them. And you know, I think that there was -at least, you know, from my perspective, I -- and again, I'm not, you know, the experienced constructor, but when I remember talking to -- you know -- talking to Heath about it and being like, "Hey, is there something kind of broadly wrong here," and he's like, you know, "I think, I think it

should be -- I think it should be good, you know.

We're going to -- you know -- we didn't install

Page 58 anything incorrectly, you know. We followed all the 1 2 procedures and we did it, you know, in accordance with our drawings." 3 4 And I'm like -- cause I'm like, "Honestly, if we're screwing something up, just tell 5 me, right, cause we can manage that, " and he was 6 like, "You know, honestly, you know, all ego aside, 7 you know, no, I really don't feel like that." 8 And I was, you know, very comfortable 9 with Heath, you know, being very honest with me 10 11 about that. You know, we built a strong rapport and 12 I felt like there was a level of trust there at that 13 point, and I was kind of like, you know, let's just 14 -- you know -- we're going to fix what was broken 15 and you know, keep kind of moving along, you know. 16 Something happened, right. Something got bumped or something got -- you know -- bad batch 17 of steel or you know, something, right. It was not 18 this kind of global nightmare that this whole thing 19 kind of turned into at that point. 20 21 I think once there was this concern that

Page 59 there was this kind of global nightmare, that was 1 2 really the inflection point where I think JFA was kind of -- took the position that, all right, well, 3 4 we need a scapegoat, you know, we're going to cut -we're going to just bury Redstone and come with -- I 5 think Greq verbatim said to me, "You know, I just 6 don't think you guys have the, guote, unquote, 7 8 firepower to endure this. So, we're just going to pretty much just try and crush you." 9 10 And I said, "Okay. Well, you do what you got to do, brother. I'm going to do what I got 11 12 to do." 13 Q So when the anchors began to sheer, 14 Mr. Kefover talked to you about that, right? He did. I remember. I remember it 15 16 explicitly cause he was concerned when it happened and he went -- like, I think he drove out, you know, 17 looked at it in the middle of -- like, when the 18 first -- for the first inclination of a sheering 19 20 occurred, he went out immediately to make sure that there wasn't anything wrong with, you know, our --21

Page 60 anything major wrong with what we were doing and he 1 2 felt, you know, pretty good about it. That was kind of where, you know, I left it with him. 3 4 We probably talked about it for 45 minutes or so. And you know, the answer was that it 5 was kind of an isolated thing and we're going to 6 7 repair them and move on, right. Obviously, that was not the case. 8 Did he express to you concern that 9 Q problems with the fill material were causing the 10 11 anchors to sheer? 12 That was obviously, like, highlighted as 13 a problem at some point. I'm being -- I do not recall the exact time with which the fill 14 15 settlement, you know, became a general concern. 16 I don't think it was like a -- kind of global concern at that point, you know, if there was 17 like some poorly compacted material, you know. 18 I don't think it was like, lock, stock 19 and barrel to be fill settlement at that point. 20 I 21 think that was kind of further assessed as time went

Page 61 on, but I think that, you know, there may have -- I 1 2 think that was one of a couple things that, you know, may have -- the five anchors I know that had 3 4 broken, I don't think we -- you know -- there was a concern we did it -- you know -- did we install 5 6 something wrong? 7 Right, that was kind of our first, you know, concern, right, and then it was like, 8 okay, so, from here, you know, we need to fix this. 9 10 There's probably a number of variables that impacted 11 this -- these anchors. But, in terms there being 12 some kind of general means and method, global flaw 13 in the approach that has now been further 14 determined, that was not, like, you know, the 15 clearcut issue at the time that I recall. Heath may 16 feel like, you know, he knew lock, stock and barrel that this was the problem. 17 You know, my understanding was that some 18 19 anchors broke, you know -- you know -- things break 20 on a site. Part of it might have been, you know, fill settlement, part of it might have been a number 21

Page 62 of different things and the -- that we were going to 1 fix them and move on, and we weren't going to get 2 into some broad debate about what the root cause was 3 4 and that's kind of the way it went down that I 5 recall. Did he express to you at the time of the 6 0 first or second anchor sheering, a concern for the 7 safety of the Redstone workers at the site, such 8 that he was in favor of halting construction after 10 the first few anchors broke? 11 I don't know if safety was -- I honestly don't, don't remember. I mean, I think safety was, 12 13 you know, working at night, you know, the wall face 14 and the overall testing approach that changed. 15 know Heath had some general concerns about safety. 16 I don't know -- I don't remember if that -- like, the anchor sheering was like the red flag 17 that -- you know -- I think the primary concern on 18 19 safety was, you know, testing on that, on the 20 working face, the way they were, and testing at night and just -- you know -- I don't remember that, 21

	Page 63
1	you know, being I don't remember a discussion of
2	that being like the you know Heath freaking
3	out about safety due to those anchors breaking. I
4	don't.
5	Q You don't recall whether such a
6	discussion took place or you recall that it did not?
7	A I do not recall that a discussion like
8	that took place.
9	MR. FITZGIBBON: Okay. This might be a
10	good time for a short break if
11	THE WITNESS: That'll be great, yeah.
12	MR. FITZGIBBON: I'm trying to be
13	cognisant of not taking more than an hour before
14	THE WITNESS: I need to get another cup
15	of coffee. So I'll
16	MR. FITZGIBBON: All right. Let's take
17	10 or 15 minutes and come back.
18	THE WITNESS: Thank you, sir.
19	(A break was taken.)
20	BY MR. FITZGIBBON:
21	Q You made reference earlier this morning

Page 64 in your testimony to a shop that Redstone had. 1 2. Do you recall that? Α Uh-huh. 3 4 Did Redstone make anything at that shop 5 that was used on the Mobley site? Not that I'm aware of. It would have --6 Α 7 maybe stage material or prepared some machinery, but it's not impossible that some component of that was 8 potentially -- no, I mean, I can't imagine. 9 10 I mean, there might have been 11 something done that was used out there, but I can't 12 -- I'm not aware or -- of the specifics if there was 13 something or not. I just don't know. 14 Do you have any knowledge as to whether 15 the wailers that were used at the Mobley site were 16 fabricated by Redstone? I do not know. 17 Α Okay. Do you recall a time when you 18 19 were asked to provide signature pages for Redstone's 20 application for insurance with respect to the Mobley 2.1 site?

	Page 65
1	A I do not recall that.
2	Q Okay. Bear with me one second.
3	A Take your time.
4	MR. FITZGIBBON: I think this is RW-5,
5	is that right?
6	Is that what I'm up to?
7	THE WITNESS: Yes, sir. Problems with
8	Friday after a holiday week is that off the
9	record.
10	(A discussion was held off the record.)
11	(Walton Exhibit 5 was marked for
12	purposes of identification.)
13	BY MR. FITZGIBBON:
14	Q Mr. Walton, I just sent you what I
15	marked as RW Exhibit RW-5.
16	A Yep. I'm just refreshing the page,
17	waiting for it to come through.
18	Q Okay. And RW-5 is an exhibit that
19	consists of multiple pages, the first of which
20	appears to be an e-mail from you to Kelly Johnston
21	and Roger Waters dated, Monday, April 13, 2015.

	Page 66
1	Do you see that?
2	A Yes, sir, I do.
3	Q And the subject line is "Signature pages
4	of insurance."
5	Do you see that?
6	A Yes, sir, I do.
7	Q And your note to Ms to Mr. Rogers
8	and Ms. Johnston says, "Please find attached," and
9	if you scroll down to the first page or the
10	second page of the exhibit, there appears to be a
11	signature line at the bottom of the page with a
12	signature in blue, do you see that?
13	A Yeah.
14	Q Is that your signature?
15	A It is, in all of its beauty.
16	Q And is that equally beautiful signature,
17	does that also appear on the bottom of the page that
18	is marked Exhibit A746?
19	A That is my signature.
20	Q And that is a signature that is on a
21	form that bears the label, "Accord 125."

Page 67 Do you see that immediately beneath your 1 2 signature? I'm looking at the -- I'm looking at the 3 4 Exhibit 00743, and I signed that, and then there's Exhibit 00744. There's no -- nothing signed on 5 6 that, and then 00745, no signature, then there's 00746 does have that signature, and I see below 7 that, it says, "Accord 125." 8 That's correct. And that's the signatures dated 4-9-15, 9 Q do you see that? 10 11 That is correct. 12 Q If you scroll to the next page, is that 13 your signature at the bottom of Accord Form 130? 14 Α That is. 15 And if you scroll to the next page, is 16 that your signature at the bottom of Accord Form 17 131? 18 Yes, I believe that's correct. Α 19 And the next page is Application 0 Okav. 20 for Executive Officer Exemption for the Provision of 21 Pennsylvania Workers' Compensation Act, do you see

		Page 68
1	that?	
2	A Yes, sir.	
3	Q And if you scroll to the si	gnature line
4	for that, which is on the next page, th	e page that
5	bears the number Exhibit A00750, do you	see that?
6	A I do.	
7	Q And is that your signature	on that page
8	under the executive officer's declarati	on?
9	A Yes, sir, it is.	
10	Q And if you scroll two more	pages back to
11	Exhibit A, page 752, there's another si	gnature line
12	there.	
13	Do you see that?	
14	A I do.	
15	Q And is that your signature?	
16	A It is.	
17	Q And if you scroll two more	pages back
18	again, another signature page on the to	p of on
19	754.	
20	Do you see that?	
21	A Uh-huh.	

	Page 69
1	Q That's your signature?
2	A It is.
3	Q And then on the page that's labeled,
4	"Endurance," do you see that policy holder
5	disclosure?
6	A I do.
7	Q And is that your signature at the
8	bottom?
9	A It is.
10	Q And on 756, is that your signature?
11	A It is.
12	Q Okay. And when you sent the signature
13	pages, you understood that those were the signature
14	pages for the insurance application?
15	A Yeah, it looks like I'm looking at
16	the I have in the subject of the e-mails is the
17	insurance. Yes, that appears to be the case.
18	That's correct.
19	Q Okay. Do you recall any discussion or
20	communications with The Insurance Market regarding
21	landslides at the Mobley site?

	Page 70
1	A I do not. I do not.
2	MR. FITZGIBBON: Okay. I'm going to
3	introduce give me one second, make sure I have
4	the right exhibit.
5	This should be 006, right?
6	(Walton Exhibit 6 was marked for
7	purposes of identification.)
8	Q Mr. Walton, I just sent you what I've
9	marked as Exhibit RW-6, and ask you to take a look
10	at that when you get a chance?
11	A I do see that, yes.
12	Q Okay. And what's been marked as Exhibit
13	RW-6, it bears bates number at the top, it says,
14	"Exhibit A00071 and 72" on the next page; 71 appears
15	to be an e-mail from you to Roger Waters dated
16	February 16, 2015.
17	Do you see that?
18	A Uh-huh.
19	Q And if I can direct your attention to
20	the last paragraph of the e-mail?
21	A Uh-huh.

Page 71 It states, "I would like to try to have 1 2 a decision on this as soon as possible, as we have had slides out on Mobley and we are wide open right 3 now on the subsidence risk." 4 5 Do you see that? Α I do see that. 6 What were you referring to there? 7 0 I don't, I don't remember. I really --Α 8 I've seen this e-mail and I don't -- I really don't 9 10 remember. 11 I think my understanding was when there was some -- the only thing that I could think -- and 12 13 I'm going to caveat this with, you know, best of my 14 recollection was, there was some testing that 15 occurred at the face -- I mean, not the face of the 16 wall, the inside of the wall, and some earth had slipped and hit one of our drilleries and it almost 17 hit a guy, and then that changed the -- and then 18 19 that changed the means and methods for testing, 20 having to go out to the working face. 2.1 That was the -- that would have been

Page 72 what I understood to have happened. 1 2. Have you finished your answer or are you still looking at the document? 3 4 Α No, no, no. Yeah, that finishes my 5 answer --6 0 Okay. The only thing I can recollect is the, 7 Α is the slide that happened at, like -- if you're 8 thinking about the wall, though, when I say "the 9 face of the wall," it's like the outside and then 10 11 the inside is where the earth was placed and the 12 hill face. Some earth slid there and hit our drill 13 and almost hit a person. 14 And what did you mean when you said, "We are wide open right now on the subsidence risk"? 15 16 Α That if, that if that earth would have fallen and hit a, a drillery and really caused 17 material damage or killed somebody, that it would 18 have been a bad situation. 19 20 So, you know, we needed to have protection in the event that an unforeseen condition 21

Page 73 occurred and God forbid, you know, a hill slide 1 occurred or something, and somebody got hurt and 2 died, you know. 3 4 And at this point in time, in February of 2015, the Liberty Mutual policy had not yet 5 incept, correct? 6 I believe that is correct. It looks 7 8 like we're getting a guote for it now. And so, your policy at that point, your 9 Q being Redstone, was with Kinsale? 10 11 Yes, sir. Α 12 Q And was subsidence not covered under the 13 Kinsale policy? 14 Α I am assuming it is not based upon this 15 e-mail. 16 Q Okay. But, you were aware that that was a risk at the site at the time? 17 Yeah. Yeah. I think that slip, that 18 19 kind of changed the testing protocol from what I remember, and what kind of prompted this level of 20 21 reaction from me would have been that.

Page 74 And the railroad protection policy, I'm 1 not sure if there was -- I don't know if there was a 2 CSX job we were working on at the time. If there 3 4 was like, any kind of underground mine subsidence concerns there. 5 We were working near the rail. I just 6 don't -- I don't know, but that's the only thing I 7 8 can think of. My quess is, I don't -- I just don't 9 remember. 10 11 Do you recall when you received a copy 12 of the Liberty Mutual policy? 13 Α I don't think I ever received a full 14 copy of the policy. 15 MR. FITZGIBBON: I'm going to mark 16 another exhibit as Exhibit RW-7. (Walton Exhibit 7 was marked for 17 purposes of identification.) 18 THE WITNESS: You know, the only other 19 thing I could think of is when I received a copy of 20 the policy, it would have been -- you know -- I know 21

Page 75 they gave us binders, like, after things were --1 2 once we had a policy in place, I might have received it at that point. That's the only thing I can 3 4 recall. 5 I just sent you what I've marked as Exhibit RW-7. Let me know when you've had a chance 6 7 to open it and review it. Got it. Was this mailed to me? 8 Α What I've marked as Exhibit RW-7 appears 9 to be a letter dated June the 5, 2015 addressed to 10 you from Kelly Johnston, do you see that? 11 12 Α Uh-huh. 13 Do you know who Kelly Johnston is? Q 14 Α I think she worked at The Insurance Market, if I remember. 15 16 0 And Ms. Johnston's letter asks you to find enclosed that your renewal policies, including 17 general liability policy number TB2-641-444555-025. 18 19 Do you see that? Uh-huh. 20 Α 21 And, do you recognize that policy number Q

Page 76 as the number of the Liberty Mutual policy that's at 1 issue in this case? 2 I do not know the insurance policy 3 Α 4 number. 5 Do you see in the fourth -- or, the third paragraph, the bill for these policies is 6 being sent to you by Liberty Mutual. 7 Do you see that? 8 Α Yeah. 9 And that you should remit payment to 10 Liberty by the date due. 11 12 Do you see that? 13 Α I do. 14 0 Okay. Do you have any reason to doubt that you did not -- that you received this letter on 15 16 or about June the 5, 2015, forwarding policies to you at the Uniontown, Pennsylvania address? 17 I -- this is, this is, honestly, the 18 first time I remember this letter, and I think it's 19 20 a little bizarre that they -- I feel like they just 21 assigned like a -- the premium financing thing back

Page 77 in April and then, like, two months later, I'm 1 2 getting this, you know, letter that -- I mean, there's a dated letter that says this. 3 4 If there was a letter mailed to me, which was this, I don't recollect reading it. I 5 just don't remember. 6 They very well could have sent this 7 letter to the office, you know. As I said, I was, 8 you know, in that office on -- you know -- on 9 average, a day or two a week. 10 11 So this ultimately get to my hands? 12 It's possible, but I did most of my correspondence 13 via e-mail. 14 So that's why I asked in the beginning. 15 I don't know if this was e-mailed to me, because I 16 don't recall this letter. At this point in time, in June of 2015, 17 you still would have been the person responsible at 18 19 Redstone for insurance issues, right? 20 Α Correct. Oh, yeah. 2.1 And with respect to the payment of Q

Page 78 premium, would that have been something that fell 1 2 under your responsibilities? Α That would have fallen under my 3 4 responsibility. That's correct. Do you have any reason to doubt that 5 that top general liability policy number is the 6 Liberty Mutual policy number for the period April 7 12, 2015, April 12, 2016? 8 I have no reason to doubt that. I do 9 not. 10 11 Were you the person at Redstone who 0 12 would have been responsible for providing the 13 insurance -- your insurers with any notice of 14 occurrence under the applicable insurance policies issued to Redstone? 15 16 Yes, I would have probably been the primary person would have done that. That's 17 18 correct. Would you have been the person who would 19 be giving notice of claims? 20 21 Yes, predominantly, I would have Α

	Page 79
1	probably been that person.
2	Q And in about this time period, in 2015
3	let's say, you weren't executive officer of the
4	company, correct?
5	A I was.
6	Q And Mr. Kefover was an executive officer
7	of the company in 2015, correct?
8	A He was.
9	Q Okay. I'm sending you what I've marked
10	as Exhibit RW-8 and I'd ask you to just take a look
11	at it and let me know when you're finished reading
12	it.
13	(Walton Exhibit 8 was marked for
14	purposes of identification.)
15	A Okay.
16	Q The second e-mail in the chain appears
17	to be an e-mail from you to Roger Waters and Kay
18	Johnston dated, Monday, December 21, 2015.
19	Do you see that?
20	A I'm sorry, sir. Would you repeat that?
21	Q The second e-mail in the exhibit and

Page 80 by the way, this exhibit, for purposes of 1 identification, bears the bates numbers Exhibit 2 E-0001 through 0003. 3 On the first page of the exhibit there, 4 are a series of e-mails. The middle one appears to 5 be an e-mail from you, correct? 6 Yes, sir, that looks correct. 7 Α 8 And is it forwarding to Mr. Waters a tender for defense from JF Allen. 9 10 Do you see that? 11 Yes, sir. That is correct. Α 12 Q And what was the purpose for which you 13 were sending that to Mr. Waters? 14 Α I'm assuming my lawyer at the time, 15 Bruce Stanley, probably told me to send it to the 16 insurance. Okay. The third sentence in that e-mail 17 says, "Additionally, we are in ongoing civil 18 litigation on this matter in multiple fronts." 19 20 Do you see that? 21 Α Yes.

Page 81 So as of December 21, 2015, Redstone is 1 2 already engaged in civil litigation regarding the Mobley site, and to use your words, "on multiple 3 fronts"? 4 5 Correct. Yes, sir. Do you know whether, prior to this 6 0 e-mail from you on December 21, 2015, you had asked 7 8 anyone from The Insurance Market to put any of Redstone's insurance carriers on notice with respect 9 to any of that litigation on multiple fronts? 10 11 I -- I'm not aware. Α 12 Q So you don't know of any prior notice? 13 Α I'm not aware of any prior notice, no. 14 0 Bear with me for a second. I seem to 15 have frozen. 16 Α The one caveat to that I would say, and I'm just thinking about this, is that, we had a --17 you know -- an ongoing familial relationship with 18 The Insurance Market. So, it's not as if they were 19 20 with Roger Waters in particular. 21 So, it's not as if they would have been

Page 82 aware that there was issues out there. I think that 1 2 -- I just don't know how the timing lines up, but in terms of a formal notice, this is -- I barely 3 remember this, but I think that -- point being is 4 that this is the -- I'm sure this is the only formal 5 notice we sent. 6 7 Can you go back? You said you had an ongoing familial relationship? 8 Yeah, we talked to The Insurance Market, 9 right. We would talk to them on an ongoing basis 10 11 about our insurance needs. 12 Q Okay. You had an ongoing business 13 relationship? 14 Α Yeah, yeah, yeah. 15 0 We've got -- I think this is 9, right? 16 I'm marking it exhibit -- I'm sending it to you RW No. 9. Let me know when you've opened it 17 and had a chance to review it. 18 19 (Walton Exhibit 9 was marked for 20 purposes of identification.) 2.1 Yes, sir, I see that. Α

	Page 83
1	Q Okay. Have you ever seen this before?
2	And again, for the record, the exhibit
3	is a two-page exhibit bearing bates numbers Exhibit
4	E-0005 and 06.
5	A I have not seen this before.
6	Q Okay. If you will scroll down to
7	"Description of occurrence," do you see that?
8	A I do.
9	Q There is the statement that the last
10	sentence I believe says, "Allegations brought by
11	Mark West, Liberty Mainstream and Resources, LLC,
12	that Redstone's performance was defective in
13	multiple respects."
14	Do you see that?
15	A Yes, sir, I do see that.
16	Q And then there's a another sentence
17	saying, "A letter was provided on November 4, 2015
18	giving the allegations of the deficient
19	performance."
20	Do you see that?
21	A I do see that.

Page 84 Have you ever seen that November 4, 2015 1 2 letter regarding the alleged deficient performance? Α I probably saw such a -- I don't, I 3 don't recollect a specific letter. There was a lot 4 5 of letters. Did Redstone provide the information 6 0 regarding the description of the occurrence to The 7 Insurance Market stating that it was allegations of 8 -- that Redstone's performance was defective in multiple respects? 10 11 It looks like in that prior 12 correspondence I did, but I don't, I don't believe 13 there was another -- at least not that I'm aware of. 14 0 Okay. If you would scroll back to 15 Exhibit 8. 16 Exhibit 8? You said go back to Exhibit 8? 17 Yep. And if you'll scroll to the second 18 page of the exhibit, there's a statement in -- first 19 of all, who is Mr. Stanley? 20 21 He is a counselor for Stanley Schmidt.

Page 85 He's a prior litigator at Reed, Schmidt; he and 1 Alicia Schmidt. 2 And is he representing Redstone in the 3 Q underlying litigation? 4 He is still. He was at that time. 5 Ι believe he still is. 6 Okay. In the middle of the paragraph, 7 it -- of his e-mail, it says, basically, "JFA is 8 basically asking us to indemnify them for claims 9 10 made by Mark West for issues identified by Dr. Bruce 11 in his letter for scheduled delays and for the lien 12 filings." 13 Do you see that? 14 Α Yes, sir, I do. And is that -- who is Dr. Bruce? 15 0 16 Α Dr. Bruce was a third-party engineer we hired to perform an analysis of the wall. 17 18 And what are the lien filings that are 19 being referred to there? 20 The lien filings were liens filed on the Α property due to non-payment by JF Allen to us and 21

Page 86 the subsequent nonpayment to our underlying material 1 2 men and like some rental equipment providers. And, do you recall that the original 3 Q 4 project completion date in the contract was March 31, 2015? 5 I don't recall explicitly, but I know 6 Α 7 that it was, it was -- the project was definitely 8 delayed. And it was delayed beyond March 31, 9 2015? 10 11 That sounds, that sounds right. Α 12 Q You have any reason to doubt that the 13 initial project completion date was March 31, 2015 under the contract? 14 I know that under the base bid as 15 16 originally provided to us, that would have been a proper starting and ending date, and as the scope of 17 work evolved, that ending date would have, you know, 18 19 moved. To the best of your knowledge, was there 20 0 ever an amendment to the contracts extending the 21

Page 87 March 31st project completion date? 1 2 Related to -- I can't speak to JFA contract with Mark West. You know, I think that we 3 4 had made multiple -- we had filed multiple, you 5 know, claims to JFA stating changes in working conditions that would have commensurately extended 6 7 its time. We assumed that, and incorrectly, that those claims were then being passed along to Mark 8 9 West. 10 We discovered after a fair amount of 11 time that those were not being passed along to Mark 12 West, and then I think that kind of gets back to the 13 original risk management strategy of JF Allen when, 14 when they maybe felt they were going to have challenges meeting the schedule. 15 16 Q And, could you identify who Mark West is with respect to the Mobley site? 17 Sure. Mark West -- I'm not sure the 18 19 ultimate contract signor of the Mobley site, but I think it was Mark West, Liberty, Midstream, and they 20 were the owner of, I believe the real property and 21

Page 88 business operation at the Mobley compression 1 2 station. Have you reviewed the original contract 3 Q between Mark West and JF Allen? 4 I have after -- I have. Historically 5 I've reviewed it after signing. 6 And you reviewed the subcontract between 7 0 JF Allen and Redstone, right? 8 Yes, sir, I did. 9 Okay. And again, I don't want there to 10 Q 11 be any confusion. I can show you the documents if I 12 need to. 13 With respect to the original agreement 14 between Mark West and JF Allen, the project 15 completion date specified in that contract was March 16 21, 2015, wasn't it? 17 I believe that's correct. Α The contract specifically said "time was 18 of the essence, " right? 19 20 Α Correct. And at the time that you signed -- that 2.1 Q

	Page 89
1	Redstone signed the subcontract, which I believe was
2	in September of 2014, is that right?
3	A That sounds correct.
4	Q And you were the person who signed the
5	subcontract on behalf of Redstone, weren't you?
6	A That is correct, yes.
7	Q So, at the time that you signed, you
8	understood that the project completion date was
9	March 31, 2015, right?
10	A I think that that's right. I
11	think no is the answer to that. I wasn't able to
12	see the underlying contract agreement with Mark West
13	with JF Allen until after we signed our agreement
14	with JFA.
15	Q So, you did not know, at the time you
16	signed the subcontract, that your work was supposed
17	to be finished by March 31, 2015?
18	A No, we were not aware of that.
19	Q When did you think you had to be
20	finished by?
21	A We assumed we were putting together a

Page 90 construction schedule afterwards, you know, with JF 1 2 Allen in the ordinary course of, you know, making a gantt chart and you know -- for example, like, we 3 4 never assumed 24/7 operations, you know. You had 5 to -- we were surprised by that. I want to make sure I understand this. 0 6 The subcontract that you signed with JF Allen did 7 not incorporate certain provisions of the main 8 contract between Mark West and JF Allen? 9 10 I honestly --Α 11 Upon the project completion date? 0 12 I honestly -- and -- I honestly do not 13 remember there being a specific discussion related 14 to the March 31st completion date, because I remember -- and this is what I remember when that 15 16 was conveyed to Heath and I, we were like, that doesn't seem reasonable, and that's when we started 17 running day and night crews immediately. 18 When was that information about the 19 0 20 project completion date conveyed to you and to 2.1 Mr. Kefover for the first time?

Page 91 I, I, I don't recall the exact time. 1 2 And again, I'm working off my best memory of it. I do remember the entire contract 3 4 negotiation with Mark West, we were not a part of it, and Mr. Hadjs made it very clear that, you know, 5 we were working for them on this project, "them" 6 being JF Allen, and that's why the engineer signed 7 8 contracts directly with JF Allen. Even the payment schedule that he came up with was not something we had agreed to. 10 11 So, you know, for -- we had submitted, 12 you know, a basic kind of schedule of values, we 13 always do, and then he made up some -- you know --14 some treed and nontreed payment schedule, you know. 15 I remember telling him, "Well, that's 16 not going to work because" -- and then Heath kind of explained to him that, you built this thing like 17 building a bathtub, you know. I guess, sort of like 18 -- there's less water at the bottom to start and 19 20 we're not going to be able to -- you know -- we're going to have to be paid on a percentage of 21

Page 92 completion basis and that's -- the monetary side is 1 2 what I remember the most, because of it being so, kind of, out of left field, and I remember the 3 4 scheduling part of that being obscure as well. I do not recall what we were -- you know 5 -- if we were, if we were committing to a hard and 6 fast deadline like that, we would have, you know, 7 pro-actively built a project schedule, I assume, in 8 an amount of time. 9 10 But had there ever been a discussion 11 related to that time that, that JF Allen had? 12 don't recall. I really, I really do not. 13 I remember being surprised about many, 14 many things in the prime contract with Mark West and 15 JFA. 16 Q And, you did not have an opportunity to review relevant portions, including the completion 17 date of that contract, before you signed your 18 19 subcontract? I would have to look back, sir, but I do 20 Α 2.1 not recall.

Page 93 MR. FITZGIBBON: Rather than spend more 1 2 time on this, I think I'm just going to get the exhibits that I need to show you what you need to 3 4 show, and we can either take a break at this point 5 for lunch, if that meets people's approval, or if I -- I will pass, as long as I can come back, and once 6 7 I have the documents I need, show you those 8 documents. THE WITNESS: If there's a document that 9 jogs my memory on the matter, that'll be very good. 10 11 MR. FITZGIBBON: Anthony, I don't know 12 -- or Mike, I don't know if you want to proceed and 13 then I'll just reserve the right to come back and 14 conclude on that subject at some point, or if you 15 want to break for lunch, I can conclude after lunch. 16 MR. JACKS: I'm not real hungry right I mean, I don't know if anybody else wants a 17 break, it's fine with me --18 19 THE WITNESS: I'm good to chug along 20 cause I would prefer to get it hammered out. 21 MR. FITZGIBBON: Okay. I will pass and

Page 94 come back when I have the -- we'll break for lunch 1 2 at some point. When we do, I'll get the documents and I'll come back to you. 3 In the meantime, I'll pass to 4 5 Mr. Sunseri. EXAMINATION BY MR. SUNSERI: 6 7 0 Okay. Thank you. Mr. Walton, my name 8 is Anthony Sunseri. I represent the insurance 9 company. 10 Can you hear me well? 11 Yeah, I do. Α You previously testified that you had 12 Q 13 your deposition taken approximately five to six 14 times related to commercial matters, is that 15 correct? 16 Α Uh-huh. Have any of those prior depositions that 17 you have ever provided been related to litigation 18 involving insurance coverage? 19 20 Α Yes. 2.1 And in what capacity were you being Q

Page 95 deposed for that particular case? 1 I think I was a corporate representative 2 for that case. 3 4 Okay. And you were a corporate representative for who? 5 Elite Oil Field Services. 6 Α So you were formerly employed by Elite 7 0 Oil Field Services? 8 9 Α I was. 10 And in that case, was Elite Oil Field 11 Services suing their insurance carrier? Yes, I believe it was a suit against the 12 13 insurance carrier. And in that same suit, were they also 14 15 suing their insurance broker? 16 I believe. I believe so, yeah. 17 So, in that case that you were providing deposition testimony regarding that insurance 18 coverage issue from your -- or from the perspective 19 of a corporate representative, Elite Oil Field 20 21 Services was the plaintiff?

	Page 96
1	A That is correct. That is correct, yes.
2	Q And, in what venue
3	MR. JACKS: And, just to try to redirect
4	here and I'm not trying to interrupt you, really,
5	but this was an underlying case in Greene County,
6	Pennsylvania, where there was a spill and a
7	pollution liability claim from a trucking from a
8	gas well and Elite was a defendant in that case.
9	I believe that's the case that Rich
10	actually gave a deposition in and there was a tag
11	along insurance claim against Travelers.
12	I mean, I represented Elite in that
13	case. I think this was 2016.
14	THE WITNESS: Mike, you're right. I
15	never gave a deposition for the case between Elite
16	and Travelers. The deposition I gave was for was
17	I deposed?
18	I was deposed in that.
19	MR. JACKS: Actually, you were.
20	THE WITNESS: Yeah, I was. I was
21	deposed in that case. I remember it was at the

	Page 97
1	hotel in Greene County, but it was related to a
2	spill and I was also part of mediation discussions,
3	but I was never Mike is exactly right. I was
4	never deposed as part of the insurance.
5	MR. JACKS: Yeah, there weren't any
6	depositions in that insurance case, Anthony.
7	BY MR. SUNSERI:
8	Q Okay. And again, where was that case
9	filed, Elite versus Travelers Insurance, do you
10	recall, Mr. Walton; what county?
11	THE WITNESS: Mike, do you remember?
12	It was probably
13	MR. JACKS: I think it was Washington
14	County.
15	THE WITNESS: No, it definitely wasn't
16	Washington. Maybe it was.
17	MR. JACKS: If you give me a minute
18	MR. SUNSERI: No, that's fine. No
19	worries. No worries. We could just press on.
20	Q Did that case go to trial, Mr. Walton?
21	A I don't believe so.

	Page 98
1	Q Do you recall how it ended, what the
2	disposition of that case was to conclude the
3	litigation or is it still going on?
4	A It's concluded. And what had happened
5	was, there I believe the insurance company signed
6	a reservation of rights to it, and then, ultimately,
7	the case settled and the whole underlying litigation
8	was kind of put to bed.
9	Q Okay. Hang on one moment. I'm just
10	trying to find some exhibits here.
11	And Mr. Walton, during the entire time
12	that you were employed by Redstone, were you
13	involved in all the insurance renewals for Redstone?
14	A Yeah. Yes.
15	Q Okay. Mr. Walton, I'm loading what is
16	going to be marked as are we on 9?
17	THE REPORTER: I think this is 10.
18	MR. FITZGIBBON: I thought it was 10.
19	MR. SUNSERI: Okay.
20	THE WITNESS: Let me know whenever you
21	load it.

	Page 99
1	MR. SUNSERI: Yeah, it's loading right
2	now.
3	(Walton Exhibit 10 was marked for
4	purposes of identification.)
5	A Got it. It popped up.
6	Q Okay. Perfect. Mr. Walton, do you
7	recognize this correspondence, which is dated
8	February 18, 2015, which appears to be from you to
9	Kelly Johnson excuse me, Roger Waters.
10	Excuse me.
11	A I'm sorry, I
12	Q I'm sorry, you do recognize it?
13	A I'm reviewing it.
14	Q Oh, sorry.
15	A Yeah. I mean, it looks like
16	Q You recognize this correspondence, this
17	communication between you and Roger Waters?
18	A I don't remember it. It looks like it's
19	it looks like a correspondence we had for sure.
20	Q Sure. On the second page of what we're
21	marking as Exhibit 10, that appears to be

Page 100 correspondence from Roger Waters directed to you, 1 which the first line reads, "It was brought to my 2 attention that I do not have access to when the 3 4 markets for our Redstone renewal quotes. My Liberty Mutual market has been blocked by submission from 5 another agent." 6 Did you previously seek a quote for a 7 Liberty Mutual policy from another agent prior to 8 February 13th of 2015? 9 I did. 10 Α 11 And, do you recall who that agent was? Q 12 Α What's his name? 13 It was --14 Q Was it Mitch Sharpton? 15 Not Mitch Sharpton. It was a guy from Α 16 Tennessee. Okay. I'm loading a 17 MR. SUNSERI: another exhibit. This is going to be Exhibit 11. 18 19 (Walton Exhibit 11 was marked for 20 purposes of identification.) 2.1 Okay. I believe it's loaded now.

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Page 101
                 THE WITNESS: Yeah, that -- I'm getting
1
     a lot of feedback.
 2
                 MR. SUNSERI: Okay. I'm sorry?
 3
 4
                 THE WITNESS:
                               I'm getting a lot of
                I'm hearing my voice every time after.
5
     feedback.
                                On your end?
6
                 MR. SUNSERI:
 7
                 THE WITNESS: I wasn't having that
     before.
8
     BY MR. SUNSERI:
9
10
                 Okay. So Mr. Walton, do you recognize
           Q
11
     this -- what's characterized as Proposal of
12
     Insurance for Redstone International, LLC, 2015, 20
13
     16?
14
                 I don't recognize it, but it looks to be
15
     a quote we probably received.
16
           Q
                 Okay, and if you could just scroll down
     through that. If you could, this is on page 4 of
17
     Exhibit 12 with the page that starts, "Marketing
18
19
     Summary."
20
                 Do you see that?
21
           Α
                 I do, sir.
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Page 102 And, you don't recall who you 1 2 communicated with at Mid-State/Sharpton Insurance for purposes of this quote, do you? 3 4 I do remember the guy. I just don't remember his name. 5 Okay. What do you remember about that 6 0 7 particular quy? That he did our bonds for us. 8 Exactly what, I don't remember --9 10 Okay. And, do you know how, how you 11 came to have a conversation, assuming you had a 12 conversation with this gentleman with 13 Mid-State/Sharpton Insurance, to proceed in securing 14 a quote for this Liberty Mutual product, which is 15 here referenced in this particular quote? 16 Α I think we had historically not been very happy with -- I'm just looking at this quote. 17 We had had a very good relationship from 18 a bonding standpoint, and I think we asked him to 19 20 give us some quotes. Most likely, yeah, that's what I remember. 2.1

Page 103 Sure. And this 0 Sure. 1 2 Mid-State/Sharpton Insurance, did they have an appreciation as far as what you guys did, as far as 3 your scope of business, your operations? 4 Yeah, very much. They would have, you 5 know, given us performance bonds for associated 6 work. 7 So, they knew what you were 8 Sure. doing, they knew where you were doing it, correct? 9 10 Α I would assume so, yes, sir. 11 And if you scroll down, this is on 12 page -- this is on page 9 of that exhibit. 13 Α I see. 14 0 Which is -- I'm trying to see here. 15 It's a reference to Liberty in the quote 16 summary in the first column, do you see that? 17 Α T do. 18 Is your understanding, as you take a look at this and review this that, that pertains to 19 the quote for the Liberty -- for a Liberty Mutual 20 21 general liability policy?

Page 104 That appears to be correct, sir. 1 Α 2 And right below those fields for 0 Liberty, there's a Kinsale and the word "current." 3 4 Do you see where that is? Yes, sir, I do. 5 Α And that Kinsale policy, it looks like 6 0 the terms which are provided in the fourth block as 7 you move right from Kinsale current, those terms --8 there's much more terms -- there appear to be much 9 10 more exclusions, too, in that particular block, as 11 opposed to the Liberty Mutual policy, correct? 12 There appears to be more items noted as 13 excluded, correct. 14 Okay. And you'd also agree, as far as 15 the premium, the premium was cheaper for the Liberty 16 policy than it was for the Kinsale policy? That is correct. 17 Α So this Liberty policy that was 18 19 provided, was, was any other insurance suggested or 20 offered by this particular Mid-State/Sharpton Insurance Company pursuant to, pursuant to get 21

Page 105 quotes as they did here? 1 2. I think this was a snapshot in time, in terms of what they had gotten feedback in quoting, 3 4 and you know, then, you know, Roger, you know, wanted the business, and you know, you can see, you 5 know, he was copied on one of the e-mails as one of 6 7 our business partners at the time. 8 Jim had said that he wanted Roger to be able to evaluate our insurance for us and that's 9 10 when we did the DOR allowing, allowing insurance 11 market. We didn't have a lot of conversations with 12 the Mid Sharpton. 13 Q Mid Mitch Sharpton? 14 Α Mid Mitch Sharpton, yeah. 15 Tell you what. I know that you're 16 eating right now, Rich. I'm going to propose that we take a break, if everybody's fine with that, and 17 allow everyone to eat. 18 19 Does anyone have any objection? 20 MR. FITZGIBBON: No objection here. 2.1 THE WITNESS: I'm good.

Page 106 MR. SUNSERI: Mike? 1 MR. JACKS: No objection. I mean, I 2 think maybe 45 minutes --3 4 MR. SUNSERI: Yeah, I was going to say to come back on at like 12:45, if that's appropriate 5 6 or acceptable to everyone. MR. JACKS: So I know Rich has to do a 7 full day's work when this is over. 8 9 So kind of want to get on with it. 10 THE WITNESS: Yeah. I mean, I'll stop 11 eating, you know. I don't care. 12 MR. FITZGIBBON: With all due respect, 13 we did start at least a half an hour late. 14 don't we take a 45-minute break, and we will try to 15 get through it as quickly as we can when we get 16 back? 17 THE WITNESS: Yeah. I mean, I'm going to have a hard stop. So if we have to reschedule 18 19 later, we'll have to reschedule later too. MR. SUNSERI: What would that be hard 20 21 stop time?

	Page 107
1	THE WITNESS: 2 o'clock. I have a 3
2	o'clock meeting.
3	MR. FITZGIBBON: This is the first we're
4	hearing of this, Mike.
5	MR. JACKS: How much more do you guys
6	have?
7	I'm probably going to have 10 or 15
8	minutes with him, so.
9	MR. FITZGIBBON: I told you what I have.
10	I have one clean up item and that's it.
11	MR. JACKS: Okay.
12	MR. SUNSERI: I think I can do what I
13	need to do. Why don't we take a 15-minute break at
14	least
15	THE WITNESS: Perfect.
16	MR. FITZGIBBON: Let's say we'll be back
17	at 12:30.
18	MR. SUNSERI: I agree. 12:30 we'll be
19	back.
20	MR. FITZGIBBON: Yep.
21	(A break was taken.)

Page 108 BY MR. SUNSERI: 1 2. Walton, I'm going to show you what 0 we will refer to Exhibit 11. You've got the loading 3 file pinwheel rolling through. 4 5 Yep. I got it. And, do you recall if this was a -- does 6 0 this appear to be a proposal of insurance for all 7 the lines of insured that Redstone maintained at 8 this particular time in February of '15? 9 10 Α Looks pretty exhaustive, yeah. 11 Okay. And, do you recall being advised 12 by Mid-State/Sharpton Insurance that any of the 13 brokers -- or any of the carriers, rather, that 14 applications for insurance for Redstone was 15 submitted at this point were declined for any 16 reason? I'm looking at this back at this premium 17 Α summary, that what it looks like it is. 18 19 0 Okay. Do you know what instructions, if any, you gave to Mid-State/Sharpton, as far as go 20 and get a quote of all our lines of insurance we 21

Page 109 maintain at this time? 1 Was there something in that vain that 2 was provided? 3 I would assume I probably would have 4 showed them our historical policy and look to get 5 comparable insurance going forward, and talk to them 6 about our business operations and -- you know --7 8 like any service provider. I think my question is -- I'm sorry, I 9 didn't mean to --10 11 No, you're good. 12 Mid-State/Sharpton, as far as the bond 13 work that they were doing for you, what exactly -- I mean, what kind of information would you have to 14 15 give Mid-State/Sharpton in order to get them to 16 provide a bond for a particular job? 17 Α The contract. Okay. And, did you secure bond through 18 0 Mid-State/Sharpton Insurance for the Mobley site 19 work? 2.0 2.1 Α We did not.

Page 110 Okay. Do you know if they were ever 1 2 provided with the Mobley site contract or subcontracts? 3 4 They were not. I assume not. 5 But, they did know that you were involved, or that Redstone was involved, in 6 performing micropile work, right? 7 8 Α They were. 0 How else -- well, what other kind of 9 work was Redstone doing at this particular point in 10 11 time? 12 Like, subsurface technical work. 13 Q Was that similar to the work that they 14 were doing as of April of 2015? 15 Α Yeah, I would assume so. 16 I mean, no new lines of business. Q No new lines, okay. 17 18 And if you could scroll down within that same exhibit. Actually, it's on page 26 of the 19 20 exhibit or you can go by the bates number, Exhibit A 2.1 and it's 1270.

	Page 111
1	A Looking at it.
2	Q Are you there?
3	And this appears to be a document which
4	is titled, "Liberty Mutual Insurance Commercial
5	Insurance Energy Coverage Proposal for Redstone
6	International, Inc.," and it has an effective period
7	of April 12 of '15 through April 12 of '16,
8	underwriter Steven Grant.
9	Do you know who Steven Grant is?
10	A Never talked to him in my life.
11	Q Do you believe that you were provided
12	with this by Mid-State/Sharpton Insurance?
13	A I think I'm operating under the
14	reasonable assumption that what you're providing me
15	is what Ms. Sharpton provided to me.
16	Q Okay.
17	A So the answer to that question is yes.
18	Q Okay. As far as going back to the
19	insurance proposal that Mid-State/Sharpton prepared,
20	would you have occasion to review that whenever you
21	received it from Mid-State/Sharpton?

Page 112 Would I have looked at this, what you 1 2 have sent me? Would you have reviewed what's been 3 Q 4 marked as Exhibit 11 to your deposition, "Proposal of Insurance Redstone International, Inc., 2015, 5 '16," prepared -- well, it appears it's been 6 7 prepared by Mid-State/Sharpton Insurance? I did not read this entire thing. 8 Α Did you read any of it? 9 Q 10 No, likely very little of it. Α 11 0 Okay. 12 Α Yeah, that's -- can I -- I mean, that's 13 why we hire insurance broker. 14 0 Okay. You can go back to Exhibit 10. 15 Are you there? 16 Uh-huh. Okay. According to this e-mail, which 17 is dated February 18 of 2015, which you direct to 18 Roger Waters, there's a reference in the attachments 19 to a CGL Form. 20 2.1 Do you see that, CGL Form 04-3 edition?

	Page 113
1	A Yeah.
2	Q And then if you note down under No. 1,
3	Subpart A, you advise, "I have attached the Liberty
4	coverage form," and then if you scroll down along
5	those e-mails is a Commercial General Liability
6	Coverage Form.
7	Do you see that?
8	A Where does it say, "I have attached"?
9	Q It is in the first page of that e-mail
10	under No. 1, lower case A.
11	A Yep, I see that.
12	Q And then the referenced coverage form
13	follows, do you see that?
14	A Yeah, it appears to be. Right.
15	Q Where would you have gotten this
16	coverage form that you would have forwarded to Roger
17	Waters on February 18th of 2015 under this e-mail
18	correspondence?
19	A I assume I would have gotten it if I,
20	I don't recall.
21	If it was not in the discovery, I'm sure

Page 114 you guys would tell me where I got it. But it would 1 2 either have been from Mid-State or, or Insurance Market. 3 4 Okay. So, have you ever -- in the 5 course of your dealings with renewal for Redstone, ever provided a CGL Form to The Insurance Market? 6 I don't know that. 7 Α I mean --8 Going back to the first page of that e-mail, the second sentence provides that you wanted 9 your insurance with Liberty Mutual, correct? 10 11 Α Uh-huh. 12 And that's under the same e-mail that 13 you provide the reference to CGL Form that you forward, correct? 14 15 Α Uh-huh. 16 Q And that appears to be the same e-mail that you provide this proposal, proposal update, 17 which we've broken up into two exhibits. 18 Exhibit 11, the proposal of insurance provided by 19 20 Mid-State/Sharpton. 2.1 Have you done that in the past? Have

Page 115

you ever gotten a quote from another insurance broker and submitted it to The Insurance Market and said, "Here's a quote, this is what I want"?

A No, I don't -- I think this is the first time we've ever done that and the only time I did that. And I think what prompted that was when we were going to bid a job in New York, you know, we had had a job in New York historically, that I think like a CO, Certificate of Insurance, was provided for and that had predated me at Redstone, and when the other -- when we were looking at getting insurance for a new job that we were looking at bidding, it was determined that we didn't have coverage in New York. And I think everyone was obviously taken aback by the fact that our historical policy didn't cover a state with which we were doing work in.

If we had known we were not going to be insured in a market that we were doing work in, we would have bought additional insurance. So when that occurred, we said, "Okay. Well, obviously we

Page 116

have some concerns with Insurance Market."

Insurance Market then was able to have there -- you know -- I think it's -- I don't remember this, you know, Insurance X, whatever it's called, but there was the Kinsale stuff, and then I went to another broker and get this coverage, you know, especially this New York gap that was there and you know, I -- then Roger had wanted -- found out that when we kind of went to quote the business, that we were getting quotes from other brokers, from Liberty Mutual, and he wanted the business back.

So, you know, he had used his relationships to get our board of directors to, to DOR Insurance Market back, so that they could do the negotiation with Liberty Mutual.

You know, the New York stuff is what had prompted that scenario to occur, you know. In terms of not being covered at Mobley or being covered at Mobley, the -- you know -- I think given the fact that I probably sent the contract language to him on multiple occasions, you know, I had assumed that our

Page 117 historical Kinsale was shortsighted, the required 1 2 insurance, and I assumed that it would be in the renewal and he was aware of it. 3 4 I don't know what else to say. 5 0 I lost it. Hang on one moment I'm trying to get something to load. 6 Mr. Walton, we're identifying Exhibit 7 No. 12 to your deposition, which is loading now. 8 9 (Walton Exhibit 12 was marked for purposes of identification.) 10 11 So, just to go back before we address 12 Exhibit 12, those New York exclusions, did The 13 Insurance Market get those New York exclusions for Redstone? 14 15 Not sure I understand the question. Α 16 Did they get the exclusions removed? Yes. 17 Q No, it was in the Mid-State stuff that 18 19 the New York stuff was already removed. So for Exhibit 12 now, this 20 Q Okay. appears to be correspondence dated March 25, '15 21

	Page 118
1	from Roger Waters to Rich Walton.
2	Do you recognize this particular e-mail
3	correspondence?
4	A I do not, but I'm reading it, trying to
5	re-educate myself.
6	Q Have you had a chance to review it?
7	A Yeah, just give me one second.
8	Q Okay. You're good.
9	A I am.
10	Q Okay. If you scroll down on the
11	exhibit, there's something similar to what we've
12	already taken a look at. There's the it's on
13	page 9. It starts on page 9 of that exhibit and
14	it's a document titled, "Liberty Mutual
15	Insurance-Commercial Insurance Energy Coverage
16	Proposal for Redstone, Inc. Effective"
17	A Page number of the or is there a
18	bates number on this?
19	Q No, there's not a bates number. Page 9.
20	A Okay. Page 9 of the PDF or is it page
21	9 – –

	Page 119
1	Q Page 9 of the PDF.
2	A Okay.
3	Q If you can scroll down to page this
4	is page 11 of that same PDF.
5	A Okay.
6	Q States "General liability" at the top,
7	and then there's limits of liability which are
8	provided.
9	Do you see that?
10	A I'm on page 11.
11	Q Okay.
12	A And then page 11 of the PDF.
13	Q Page 12.
14	A Page 12, okay.
15	Q Yeah. Is that the one that have
16	"General Liability Liberty Mutual Insurance Company"
17	at the top?
18	A Yep, Liberty Mutual Fire Insurance
19	Company.
20	Q And then, if you look, there's a little
21	bit down the page, about fourth of the way down,

```
Page 120
     there's four series of fields, which start,
1
     "Endorsement name, Form number, Fill in, if any, and
 2
     Comments."
 3
 4
                 Do you see that?
                 Uh-huh.
 5
           Α
                  If you go down to the very last box
 6
           0
     under "Endorsement name," there's an exclusion
 7
     that's referenced.
8
                 Do you see that?
9
10
           Α
                 Uh-huh.
                           I do.
11
                 And then over two blocks from there,
     "Fill in, if any, all professional services."
12
13
                 Do you see that?
14
           Α
                  I do.
15
                 Did you review this quote at any time
16
     before you were -- before the Liberty Mutual policy
     was bound?
17
           Α
                 I did not.
18
                  Is there a reason why you would not
19
           Q
     have?
20
21
                 Because, again -- I mean, I -- we're
           Α
```

Page 121 looking at page -- you know -- I mean, the main 1 reason I wouldn't have is I would have relied on 2 them or relied on --3 4 0 Okay. If you can go back to Exhibit 10. Α 5 Uh-huh. That second sentence on that e-mail 6 0 7 correspondence of February 18, 2015 from you to Roger Waters, you advise, "I went on insurance with 8 Liberty Mutual. So let's work to get the attached 9 10 quote in place ASAP, " correct? 11 Yep, that's correct. 12 Was there another line of insurance or 13 insurance carriers that were proposed under that 14 insurance proposal which was provided by 15 Mid-State/Sharpton? 16 Α No, I think we had the existing Kinsale, and I'm just putting the history back together. 17 18 There was this Cover X he was doing and 19 Liberty. Okay. So how would you know to make the 20 0 proposal where direction that you want your 21

Page 122 insurance with Liberty? 1 2. Because we didn't have any other insurance options --3 4 0 Okay. -- other than Kinsale and Cover X. 5 0 Okay. 6 And Liberty is -- you know -- was just 7 Α more of a known, you know, good insurance company 8 that we thought -- you know -- I never heard of 9 10 Cover X. Okay. And again, you don't know why you 11 would have attached the Liberty form in this e-mail 12 13 and provide it to Roger? 14 Seems like I had some general concerns 15 related to subsidence and I probably wanted to make 16 sure that, after that slide had occurred, I want to hit that drill that we had coverage for it. I think 17 that was probably the concern at that point. 18 19 And, did The Insurance Market secure 0 20 insurance that covered subsidence-type claims with that renewal for the April 12, 2015 to April 12, 21

Page 123 116? 1 2 I believe so. That's correct. think it's important that I'm not performing like an 3 4 in depth analysis of this insurance policy. taking what had been a practical scenario and 5 saying, "Hey, this hadn't happened -- you know --6 you're saying, historically, we had exclusions for 7 subsidence. That seems like it could be dangerous 8 going forward." 9 10 You know, relative to, you know, me 11 providing this kind of analysis to my broker, for him then to just go and you know, secure the things 12 13 that I want, you know, I think -- you know. 14 You would agree with me that, 15 Mid-State/Sharpton knew what you guys did and they 16 provided those same policy of insurance, correct? Α Those would have been the initial quotes 17 they would have received, right, but obviously Roger 18 took over and took it from there, right. 19 20 So, it was no kind of initial, you know, 21 further due diligence that had occurred.

Page 124 What kind of due diligence was supposed 1 2 to be conducted? In terms of -- I would expect our broker 3 Α 4 to kind of analyze our policy and understand the risks, you know, before we, you know, sign anything 5 with them. You know, I felt like we clearly 6 outlined those risks in numerous occasions. 7 So, you know, I'm not sure why the 8 responsibility is like, you know, falling back on us 9 10 to have made sure what we had asked for on multiple 11 occasions was in this, you know, huge document. 12 As far as any of the insurance that any 13 of the contracts or sub-agreements for the Mobley 14 site work that was being done, did anyone express 15 any objections to any insurance, or lack of 16 insurance, that Redstone had for purpose of the work they were doing there? 17 Greq Hadjs had provided me what the 18 insurance was and required. That was sent over to 19 20 The Insurance Market. They sent me back a

Certificate of Insurance and I sent it along

2.1

Page 125 representing that it was the insurance be provided 1 2 that I requested. Okay. My question was, did anyone make 3 4 any objections to the insurance? No, because I -- no, because they didn't 5 review the underlying policies. 6 How do you know that? 7 0 8 They never requested them; like, JF Allen never requested them, you know. 9 10 In the litigation that's currently Q 11 pending for the Mobley site work that Redstone was 12 involved in, are there any allegations or claims, to 13 your knowledge, pertaining to Redstone not having 14 the proper insurance? 15 I do not -- I'm not sure, no. 16 MR. JACKS: There are claims for indemnification, Anthony, and breach of contract, 17 including lack of the indemnification. I mean, it's 18 somewhere in one of the JF Allen pleadings I think. 19 20 I think I produced those, but if you 21 want to see them, I think I can find them if I

Page 126 didn't produce them. 1 As of March 25, 2015, did -- or had 2. Redstone experience any issues with anchors sheering 3 at the Mobley site? 4 I think there was issues with anchors at 5 that point. I don't know if, you know, we were 6 aware of what the ultimate root cause would have 7 8 been at that point in time. Was any of the information, assuming 9 Q that that's the case, there was issues with the 10 11 sheering of anchors in March '15, was any of that 12 information shared with The Insurance Market? 13 Α I do not recall whether it was or was 14 not. As far as when Redstone received notice 15 16 of the claims that are subject of the Mobley site work that they were performing, do you recall when 17 that was? 18 It looks like there was that November 19 4th letter that Bruce had received from Doug LaSota 20 that ultimately he missed, or something, for a 21

Page 127 couple weeks. 1 Who, Bruce missed it for a couple weeks? 2. It look like in that e-mail -- there's a Α 3 November 4th letter that he claimed that LaSota 4 claimed he got, that he's saying, you know, he just 5 was getting. So, that was, that was the first time 6 we had received notice of those claims by the 7 8 contractor. Bear with me. I'm just trying to move 9 through the previously marked exhibits. 10 11 If you could, could you go to Okay. 12 Exhibit No. 8 please? 13 Α 8, you said? 14 0 Yes. 15 Α Yes. 16 Q Okay. And you were just talking a few moments ago about there being this couple week gap 17 regarding the letter that Bruce may have missed. 18 Is it your understanding that, The 19 Insurance Market was first put on notice of this 20 2.1 claim on December 21 of 2015?

Page 128 I think that's the case. It looks like 1 2 the first time they put on a formal notice, correct. Q Okay. And in your December 21, 2015 3 4 correspondence, which is -- it's the second communication from the top on that first page, 5 there's a reference to -- it's the third sentence, 6 7 "Additionally, we are in ongoing civil litigation on this matter in multiple fronts." 8 What were you referring to there? 9 mean, what else was going on? 10 11 Termination for lack of resources and 12 our --13 Q Then that next sentence, "It would be 14 helpful to get some feedback in terms of what our policy will cover." 15 16 What policy or policies were you referring to there? 17 I think any and all policies, you know, 18 19 we were seeking advice of our, you know, our insurance team. 20 2.1 So based on the allegations as you 0

Page 129 understood them, at least as far as December 21, 1 2 2015, you didn't know what insurance would or wouldn't cover with regards to this claim, right? 3 4 I know that -- that this is a complex 5 situation and I did not have an opinion of what would -- what everything, ultimately, be covered and 6 I would like to get my insurance advisors analysis 7 of our policy. 8 You know The Insurance Market doesn't 9 make the determination whether there is or isn't a 10 coverage for a claim that's submitted by a carrier, 11 12 correct? 13 Α I understand that, but they also have 14 claim representatives and advisors. 15 I understand that, but, ultimately, who 16 made the denial of coverage in this matter under the Liberty policy? 17 It wasn't The Insurance Market, was it? 18 19 Α No, it was Liberty Mutual. THE REPORTER: Mr. Walton, could I ask 20 you to remove your hand from you mouth please? 21

Page 130 Thank you. 1 2 THE WITNESS: Sorry about that. Q Mr. Walton, have you ever received 3 4 correspondence from Liberty Mutual denying coverage, or indemnification for the litigation pertaining to 5 the work you folks were doing out there on the 6 Mobley site? 7 I think there was a -- I know there was 8 probably some initial denial letter and -- you know 9 -- an then -- I just don't recall how that evolved. 10 11 You know, I know there was an initial 12 denial and then there was some kind of -- something 13 was evoked regarding coverage. 14 MR. SUNSERI: Okay. I've marked in the marked exhibit folder, Exhibit 13. 15 16 (Walton Exhibit 13 was marked for purposes of identification.) 17 18 THE WITNESS: Got it. 19 And this appears to be correspondence 20 dated February 3, 2016 under letterhead, "Liberty 21 Mutual Insurance, " and it appears to be directed to

	Page 131
1	you.
2	Is that a fair characterization of that
3	document?
4	A That's a fair characterization.
5	Q Do you remember receiving this
6	correspondence?
7	A I mean, really, no, I don't. I don't
8	I know there was we were denied.
9	Q Do you have any recollections having
10	gone through this correspondence and taking a look
11	at the basis for the denial provided for in this
12	correspondence?
13	A We likely relied on our counselors, just
14	to kind of give us a synopsis.
15	Q By "counselors," do you mean
16	A Lawyers.
17	Q counsel who's representing Redstone
18	in the underlying Mobley site litigation?
19	A Yes, sir.
20	Q So with that said, you wouldn't have
21	gone and reviewed the CGL coverage form and saw what

Page 132 was and wasn't covered according to this 1 2 correspondence, is that fair? Α That is fair. 3 4 After you received this correspondence, did you have any communications with anyone at The 5 Insurance Market regarding the position taken by 6 Liberty Mutual? 7 I don't think I personally did. 8 Okay. Did you have any conversations 9 0 with anyone at Redstone as -- whether they had any 10 11 conversations with anyone at The Insurance Market in 12 response to this correspondence of February 3, 2015 13 from Liberty Mutual? 14 Probably all -- I do not recall. 15 mean, I'm sure we -- you know -- the time is --16 Jimmy was involved. He may have spoken with Roger. You don't know --17 0 I don't recollect there being some kind 18 of in depth call, you know, walking through this. 19 20 If you could, MR. WALTON: Sure. 21 Mr. Walton, I marked in the exhibit folder, Exhibit

```
Page 133
1
     14.
                  (Walton Exhibit 14 was marked for
 2
     purposes of identification.)
 3
4
                 THE WITNESS: I have it open.
 5
                 Okay. And this appears to be
     correspondence dated October 20, 2016, under
 6
     letterhead of "Liberty Mutual Insurance" directed to
7
     you, is that correct?
8
9
           Α
                 Yes, sir.
10
                 And you were still with -- and I forget
11
     when -- I believe it was 2016 that you left the
     employ of Redstone, is that correct, or did I not
12
13
     hear that correctly earlier?
14
           Α
                 I -- I'm not -- I'm definitely not
15
     stalling.
                I'm trying to remember.
16
           Q
                 And if you don't remember, that's fine.
                 Yeah, it was -- I was not actively
17
           Α
     involved at this point. I think formally my no
18
19
     longer being a W-2 employee occurred late '16, early
     '17, but, you know, I was not super-involved.
20
2.1
                 Sure. But as far as this
           0
```

Page 134 correspondence, do you recall ever having received 1 2 or viewed this correspondence? I do not recall, sir. My assumption is 3 Α 4 that I did not -- it might have been on an e-mail from, you know, Attorney Stanley or Mike, and I may 5 have opened it and looked at it, but I wasn't kind 6 of front and center, in terms of, you know 7 8 understanding it. Sure. Have you ever been advised that 9 Q any particular type of insurance was available at 10 11 the time of the renewal for Redstone in April of 12 2015, for effective policy periods April 12, 2015 13 through April 12, 2016, that would have provided 14 coverage for the nature of the claims that are the 15 subject of the Mobley site litigation? 16 Α We were not and -- you know -- we would have -- if we would have known we had not that 17 coverage, we would have asked and sought it. 18 19 And what coverage are you referring to? 0 20 Α The defective work coverage you just 2.1 referred to.

Page 135 1 0 Okay. 2 Because that's what we had to have as part of our contract with JFA. 3 My question is, has anyone ever told you 4 that that insurance was available for Redstone to 5 6 purchase? 7 They were not -- we were not. 8 As far as providing approval for the insurance --9 10 I was going to say, not that I recall 11 that that was told to me, so. 12 Q Okay. As far as who provided the 13 approval for the insurance for effective policy 14 periods of April 12, '15, April 12, '16, were you 15 that person on behalf of Redstone? 16 Α I would have been -- me. I would have been the prime person myself, Jeff Waggett would 17 have likely assisted, you know, Heath would have 18 been part of some of the conversation and all of the 19 20 shareholders, you know; Brandon, Dwayne and Jimmy. 21 Q Okay.

2.1

Page 136

A But yes. You know, I would have been relied upon, you know, to, to seek out various forms of insurance and provide that information to the respective brokers.

Q I guess my question is more geared toward who would have the final say in providing that approval.

Was it you or was it someone else or was it a collected effort?

I'm just trying to understand that process of who gives the "yes."

A It definitely wasn't me because I was trying to go with the other broker, and then, you know, there was some, you know, relationships with you know, Roger and the company, and therefore, it was, you know, put back onto The Insurance Market to, to take to Liberty policy and kind of run from there, in terms of the overall process.

Q Okay. Mr. Walton, I'm looking for an exhibit. Just bear with me.

A Take your time.

Page 137 So, do you recall providing approval for 1 the Liberty policy for the renewal period April 12, 2 '15, April 12, '16? 3 I believe that in terms of approval, we 4 -- you know -- I would have been the one who had --5 yes, let's do it with Liberty Mutual versus somebody 6 else. 7 Sure. Do you recall if you had a 8 meeting with Roger Waters in April of 2015, to 9 10 review the proposed insurance for the renewal of 11 April 12, 2015? 12 I do not recollect having a meeting with 13 Roger about our insurance in April. 14 Okay. And as far as I know, you 15 referenced earlier in your deposition that you're an 16 entrepreneur. 17 Are you involved in any other businesses? 18 Currently? 19 Α 20 0 Yes. 21 Α Yes.

	Page 138
1	Q What other lines of business are you
2	currently involved?
3	A Trucking, energy. Those are pretty much
4	the two, two big areas.
5	Q And you're married, Mr. Walton?
6	A I am.
7	Q And is your wife employed?
8	A She is a real estate agent.
9	Q Do you or your wife have any of your
10	insurance for any of your businesses through The
11	Insurance Market?
12	A I'm, I'm not, I'm not sure. Maybe
13	historically something had been or I know that I
14	probably had some stuff with them at some point in
15	time.
16	Q Okay. For some of your other
17	businesses?
18	A Uh-huh.
19	MR. SUNSERI: Okay. Mr. Walton, I think
20	that's all the questions I have at this particular
21	point in time. Thank you.

	Page 139
1	I'm going to pass you to Mr. Fitzgibbon.
2	THE WITNESS: You have a good day.
3	MR. SUNSERI: Thank you.
4	MR. FITZGIBBON: Mike, do you want me to
5	go or do you want to go?
6	MR. JACKS: Mine is going to be pretty
7	brief, Tim. How long do you think you have?
8	MR. FITZGIBBON: I just want to clean up
9	one issue. Maybe 30 minutes most, at most.
10	It depends on his answers, obviously,
1,1	but I think it could go pretty quickly.
12	THE WITNESS: Whatever works for you.
13	MR. FITZGIBBON: What number exhibit are
14	we up to, Oneeka?
15	MR. SUNSERI: We're on Exhibit 16 I
16	think.
17	MR. FITZGIBBON: This is a bit of a
18	larger file, so it may take a minute.
19	EXAMINATION BY MR. FITZGIBBON:
20	Q Mr. Walton, I just sent you Exhibit 16.
21	It may take a minute to get there. Let me know when

Page 140 you have it. 1 (Walton Exhibit 16 was marked for 2 purposes of identification.) 3 Did you have anything good for lunch? 4 Α I didn't have lunch yet, unfortunately. 5 0 All right. You should have it. 6 7 Α Yep, it's up. It's just loading. Okay. What I've marked as RW-16 is the 8 Q Amended Complaint and the exhibits to the Amended 9 10 Complaint in this action. 11 It is, it is loaded. Got it. 12 It's a lengthy document. Bear with me. 13 I'd like you to scroll down to page 41 of the document, and feel free to scroll down through 14 additional pages as you need. 15 16 My initial question to you is, do you recognize that as the Redstone subcontract with JF 17 18 Allen? 19 Yes, sir. Yes, sir, it is. 20 0 Okay. If you go to page 43, Section 21 301, "Time was of the essence in the subcontract,"

	Page 141
1	correct?
2	A Yes, sir.
3	Q And
4	A Sorry, Section 43.
5	Q And "Time was of the essence in the
6	subcontract," right?
7	A Yes, sir.
8	Q If you go to page 45.
9	A Okay.
10	Q The Section 501-B, "Final payment," do
11	you see that?
12	A Yes, sir.
13	Q It says, "Upon final completion of the
14	Mobley 5 retaining wall project, an acceptance of
15	the work by Mark West, Liberty Midstream and
16	Resources, LLC, in accordance with paragraph 2.0,
17	work completion schedule of the Mobley 5 retaining
18	wall contract (provisions attached)."
19	Do you see that?
20	A Yes, I do.
21	Q Do you recall those provisions being

	Page 142
1	attached to your subcontract?
2	A So I I'm just looking at the overall
3	agreement.
4	So when we okay.
5	Yeah, the proposal, August 26, 2014 on
6	page 99?
7	Q No. What I'm asking you is that made a
8	specific reference to paragraph 2.0 work completion
9	schedule of the Mobley 5 retaining wall contract and
10	that I'm reading from page from Section 5.01B in
11	your subcontract, Redstone subcontract.
12	Do you see that?
13	A I do see that.
14	Q Okay. And then, if you flip to page 46,
15	in Section 701-E, it says, "Exhibits to this
16	sub-agreement," and the first listed item is
17	"Applicable portions of the construction contract
18	between Mark West."
19	Do you see that?
20	A Can you repeat that, sir?
21	I'm sorry.

Page 143 Sure. On page 46 of the exhibit, there 0 1 is a heading article seven, subcontract documents, 2 and section 701-E says that, "Exhibits to this 3 4 sub-agreement include applicable portions of the construction contract." 5 6 Do you see that? 7 Α That is correct. Okay. And then if you flip to page 48 8 of the agreement, of the exhibit, that's your 9 signature on the subcontract, right? 10 11 That is me, and I remember to this day 12 thinking that I signed the attached and not that. 13 But --14 Now I want you to scroll to page 429 of the exhibit. And again, this exhibit is the Amended 15 16 Complaint filed by Redstone with the attachments to 17 it. Let me know when you're at page 429. 18 19 Α I am, sir. Do you see beginning on page 429 is a 20 Q 21 document labeled, "Construction Contract Mobley 5

Page 144 Retaining Wall Construction"? 1 2 Do you see that? Α Yes, sir. 3 And if you scroll down to Section 2.0, 4 there is the work completion schedule and project 5 completion that's referenced in the section of your 6 subcontract that we looked at a minute ago. 7 8 Do you see that? Yes, that's correct. I think you're 9 definitely -- I know what you're saying. So I do 10 11 recall is that --12 Q Well, let me ask the question. 13 If you look at Section 2.1, that 14 specifies a project completion date of March 31, 15 2015. 16 Do you see that? Α Yes, I do. 17 18 And by virtue of the provisions we've just looked at, that provision clearly is 19 incorporated into your -- Redstone's subcontract at 20 21 the site, correct?

Page 145 Yes, it is. 1 Α 2 I was just trying to clarify and help Q get back to the answer you gave earlier. You were 3 4 uncertain as to whether this was incorporated. Does this help refresh you recollection 5 6 Yeah. Yeah, I remember. So the --7 Α because I'm looking back at the -- like, at the 8 quote and the treed area versus non-treed area, and that kind of gets into the whole, whole other issue. 10 11 But you know, some of these, you know, 12 term prior to our execution of -- our execution of a 13 subcontract -- and again, I'm, I'm jogging my 14 memory, but it appears that this contract was 15 finalized. 16 You know, we were kind of made aware of some of these conditions, you know, that we 17 obviously subsequently agreed to those conditions as 18 19 part of our, as part of our contract. That's 20 correct. 21 So, my question is when you executed the Q

Page 146 subcontract, a provision that was incorporated by 1 2 reference into the subcontract was the project completion date of March 31st of 2015, correct? 3 4 That's correct, yes --5 MR. FITZGIBBON: Can you all just hang Somebody is banging on my front 6 on one second? 7 I'll be back in just a second. door. 8 (A break was taken.) THE WITNESS: The one thing I will say 9 is definitely, as I remember, it seems correct, but 10 you know, as, you know, as kind of the job had 11 12 changed and evolved. 13 You know, as I said, we had submitted 14 change orders to JF Allen, saying, "Hey, you know, 15 job has increased in size or sequence has changed or 16 laid down area had changed, and that's going to require more money and time." 17 We assumed wrongfully that those change 18 19 orders were being reviewed and passed along. 20 I don't know if that's material or not, but I'm just being honest about what our assumption 21

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	Page 148
1	more convenient than all of us driving to any one
2	city anywhere to be sitting in a room together.
3	MR. FITZGIBBON: Under the circumstance,
4	that's absolutely true.
5	BY MR. FITZGIBBON:
6	Q All right. It's populated so let me get
7	that to you.
8	You should have it now, Mr. Walton.
9	(Walton Exhibit 15 was marked for
10	purposes of identification.)
11	A I'm refreshing.
12	Q What was marked as Exhibit 15 is a
13	two-page document bearing bates numbers
14	TIMIB483-484.
15	A Yes, sir, I see that.
16	Q Okay. And the top one appears to be an
17	e-mail from you to Sherry Pusey. Do you see that
18	dated, Friday, June 16, 2017?
19	A I think that I don't think that's
20	from me. I think that's from Roger.
21	Q Oh, I'm sorry. My bad.

	Page 149
1	Have you seen this e-mail before?
2	A I was on the e-mail. So I'm sure I
3	I'm sure I opened the attachment and reviewed it.
4	Q Can you scroll to the second page?
5	A Yes, sir.
6	Q Do you know who Angela Bullock is?
7	A The name rings a bell, but I do not
8	know.
9	Q Well, if we go back to the subject
10	matter, it says, "Letter for Kinsale."
11	Do you see the subject matter of the
12	e-mail?
13	A I do, and I'm speculating that it's
14	somebody who works at Kinsale.
15	Q So, did there come a time that Redstone
16	submitted a claim with respect to the Mobley site to
17	Kinsale?
18	A I believe so, yeah.
19	Q The basis for submitting the claim to
20	Kinsale was because the anchors began failing while
21	the Kinsale policy was in effect, correct?

Page 150 I think we submitted -- I think it was 1 2 -- I think, honestly, it was confusing to us. So, you know, we had switched carriers. 3 4 You know, I think we submitted to Kinsale. submitted to Liberty and I figured -- I assumed we 5 probably would have figured out where we were 6 covered. 7 Well, if I can direct your attention to 8 the second page of the exhibit, there is a heading that says, "Parties Involved." 10 11 Do you see that? 12 Α Yes, sir, I do. 13 Q And then immediately under that section 14 of the bullet points, there's a paragraph that says, 15 "During the construction back in sequence of the 16 project, the anchors that were installed by Redstone started to structurally fail." 17 Do you see that? 18 I do. 19 Α 20 0 And, isn't the reason that that 21 information is there is because it started to fail

Page 151 during the Kinsale policy? 1 You know, I think I go back to the 2 original point being that, you know, when, when the 3 4 anchors failed, I don't really recollect exactly what time, what anchors failed and what the root 5 cause was related to those initial anchors versus 6 other anchors. I would just be -- I didn't write 7 8 this letter. So I'd just be speculating. But so, as it relates to what time, with 9 what anchors and insurance strategy related to --10 11 because it was Kinsale or because Liberty, I don't 12 think we put that much thought into it. 13 Q Did you review this letter before it was sent to Kinsale? 14 I did not. 15 Α 16 Q Mr. Kefover didn't ask for your input into the letter? 17 Α No, I was highly uninvolved at this 18 19 point. Earlier there was an exhibit, Exhibit 8, 20 0 where you had written an e-mail indicating that you 21

Page 152 wanted to discuss whether the claims -- we wanted to 1 2 discuss with someone from The Insurance Market whether the claims were covered. 3 4 Do you remember that e-mail? 5 Α Yes, sir. What did The Insurance Market tell you 0 6 when you said you wanted to discuss whether the 7 claims were covered? 8 I think if I remember, Tim, I believe it 9 was probably with, like -- it probably was a call 10 and they probably said they'd look into it, you 11 12 I mean, we obviously had a lot of lawyers 13 involved at that point. 14 So, you know, I don't remember exactly what Insurance Market said, I think is the honest 15 16 answer. Do you remember with whom you spoken The 17 Insurance Market about it? 18 19 I'm sure that Sherry was involved. was in-house claims lady. She seemed, you know, 20 pretty smart, and you know, I -- I'm sure Roger was 21

Page 153 on the call. 1 And going back to this Exhibit 15, who 2. made the determination to submit the claim to 3 4 Kinsale? Exhibit 15 you said, sir? 5 Α 0 Exhibit 15, yes. 6 Yeah, I jumped back to 8. 7 Α The -- I assumed it probably would have 8 been the board, shareholders, lawyers, you know. 9 10 Q Okay. During your tenure at Redstone, 11 did Mark West ever tell Redstone that it had to send 12 fill offsite because it was unable to store it 13 behind the wall? Send fill off site? 14 Α 15 No, I don't believe that was the case. 16 I remember we gave up a lay down area and Heath being, like, irate about it, and that gave him some 17 areas to place more of the screened material. 18 19 MR. FITZGIBBON: Okay. I've got no further questions. 20 2.1 MR. JACKS: Rich -- Tim, just off the

Page 154 record for a second. 1 (A discussion was held off the record.) 2. EXAMINATION BY MR. JACKS: 3 Michael Jacks on behalf of Redstone. 4 0 5 Rich, I just got a few summary questions here for 6 you. The first question is, did Roger Waters, 7 8 or anyone at The Insurance Market, tell you in September of 2014 that the Kinsale policy did not 9 10 provide the insurance coverage required by the 11 Mobley contract? 12 No, we were not told that the insurance 13 required another -- contract was not included in the 14 insurance policy. 15 And then, at any point in 2015 when you 16 were -- before the Liberty Mutual policy was purchased, did Roger Waters, or anyone at The 17 Insurance Market, tell you that the upcoming Liberty 18 19 Mutual policy did not provide the coverage required 20 under the Mobley contract? 2.1 No, we were not told that the new Α No.

Page 155

policy didn't have coverage under the Mobley contract, as required by the Mobley contract.

Q At any point, did Roger Waters, or anyone at The Insurance Market, tell you there were exclusions in any of those policies, Liberty Mutual or Kinsale, that would impact your work at Mobley, or coverage for your work at Mobley?

A They did not. It's why I had sent the contract over explicitly to ensure that.

Q During this time period, again, between
-- basically during the Mobley work, based upon what
you were told by The Insurance Market, Roger Waters,
did Redstone -- and again, you're testifying as a
corporate representative of Redstone for this,
believe it had the insurance coverage required by
the Mobley contract?

A Yes, we would have -- that would be the assumption, given that's what we asked for.

Q And if, at any point, between September

14 and the entire completion of the Mobley project,

through August -- or through Redstone leaving the

Page 156 project in August of '15, if The Insurance Market 1 2 had told Redstone that it did not have the necessary insurance coverage required by the Mobley contract, 3 4 what would you have done? 5 We would have gone out to whatever market we could to buy a policy. So that we weren't 6 7 not insured, as required, and as we represented to the contractor, which we worked for, that we had 8 insurance, especially on a 6.5 million dollar job. 9 10 MR. JACKS: That's all the questions I 11 have. EXAMINATION BY MR. SUNSERI: 12 13 Q I have a few questions, follow-up 14 questions to Mike's questions. 15 So again, no one ever told you that 16 insurance coverage was available at the time that you renewed in April of 2015 for that renewal period 17 of April 12, '15 through '16, that insurance was 18 available for Redstone to purchase which would cover 19 20 the claims which are now the subject of the Mobley 21 site litigation; no one's told you that, have they?

Page 157 No one told us there was additional Α 1 2 insurance to buy, and I think --Q Let me stop you right there. 3 4 My question is, what type of insurance? 5 No one's told you there was insurance 6 available for Redstone to purchase or that they were 7 even a candidate to purchase insurance at that 8 particular time; has anyone? We didn't, we didn't ask if there was 9 Α additional insurance to buy because we assumed we 10 11 had it in the prior policy. 12 But I'm saying subsequent to the denial 13 by Liberty Mutual and Kinsale for the Mobley site 14 litigation and those particular claims, no one's 15 told you that insurance was available for that 16 particular time for Redstone to purchase, which would provide coverage for those claims, correct? 17 18 I'm just -- I'm sorry. Are you asking me, after Liberty Mutual and Kinsale denied the 19 20 coverage, did somebody tell us that there's 21 insurance that we could have bought to cover for

Page 158 those claims? 1 2. Not only to purchase, that you would have qualified for. 3 We haven't, we haven't speculated on 4 5 that, like, regarding whether we would have qualified for it at that point in time. 6 And while you were at Redstone, did you 7 0 8 ever have professional liability insurance coverage? We did not have professional liability 9 cause we always outsourced all the engineering and 10 all the quality assurance. 11 12 And you understand how an exclusion 13 operates under an insurance policy, don't you? I understand exclusions exist under 14 Α 15 insurance. Hence, the reason I hire an agent and 16 tell them what I want in the insurance. And have you ever requested a copy of 17 Q your insurance policy from The Insurance Market to 18 read the policy, to determine if you have insurance 19 20 for a particular item? 2.1 No, because I ask them -- I tell them

Page 159 what insurance I want and they provided that 1 2. insurance. I quess my question is a little bit 3 4 different than what you understood. 5 My question is, have you ever asked Redstone to review a policy for existing insurance 6 7 that Redstone had while you were at Redstone, to 8 make a determination as to what coverage you had? Have I asked Redstone? 9 Uh-huh. Have you asked The Insurance 10 0 Market for a copy of your insurance policy, so that 11 12 you could determine what coverage you had? 13 Α I'm sure we have at some point. 14 0 I'm asking if you have. I don't, I don't remember. 15 Α 16 Q Is that something you would have done? I probably would have asked to see a 17 Α policy at some point. 18 19 But I guess my question to you, is that, did that obfuscate all the responsibility of the 20 broker to provide the insurance I asked for? 21

	Page 160
1	Q This deposition I'm asking the
2	questions.
3	A Okay.
4	Q We can talk about that off the record if
5	you'd like.
6	All of your businesses that you have,
7	that you've been involved in, did you ever provide a
8	CGL policy to an insurance broker and said, "This is
9	the insurance that I want"?
10	A No.
11	MR. SUNSERI: That's all the questions I
12	have.
13	MR. JACKS: Tim, do you have anything?
14	I don't have anything.
15	MR. FITZGIBBON: I have nothing further.
16	It sounds like you're finished, Mr. Walton.
17	THE WITNESS: Thank you, guys.
18	(The virtual deposition concluded at
19	1:47 p.m.)
20	(THE REPORTER: Mr. Jacks, are you
21	ordering a copy of the transcript?

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                  MR. JACKS: Yes, please, electronic copy
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     is fine. I don't need a paper copy.
                  MR. SUNSERI: I'll take an expedited.)
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CERTIFICATE OF DEPONENT
I hereby certify that I have read and
examined the foregoing transcript, and the same is a
true and accurate record of the testimony given by
me.
Any additions or corrections that I feel
are necessary will be made on the Errata Sheet.
RICHARD WALTON
DATE
(If needed, make additional copies of the Errata
Sheet on the next page or use a blank piece of
paper.)

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Page 164 State of Maryland 1 City of Baltimore, to wit: 2. I, ONEEKA S. HILL, a Notary Public of the 3 State of Maryland, City of Baltimore, do hereby 4 certify that the within-named witness personally 5 appeared before me at the time and place herein set 6 out, and after having been duly sworn by me, 7 8 according to law, was examined by counsel. 9 I further certify that the examination was 10 recorded stenographically by me and this transcript 11 is a true record of the proceedings. 12 I further certify that I am not of counsel to 13 any of the parties, nor in any way interested in the outcome of this action. 14 As witness my hand and notarial seal this 5th 15 day of June, 2020. 16 17 Dreeka fill 18 19 ONEEKA S. HILL, Notary Public 2.0 My Commission Expires: June 20, 2021 21

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Federal Rules of Civil Procedure Rule 30

- (e) Review By the Witness; Changes.
- (1) Review; Statement of Changes. On request by the deponent or a party before the deposition is completed, the deponent must be allowed 30 days after being notified by the officer that the transcript or recording is available in which:
- (A) to review the transcript or recording; and
- (B) if there are changes in form or substance, to sign a statement listing the changes and the reasons for making them.
- (2) Changes Indicated in the Officer's Certificate. The officer must note in the certificate prescribed by Rule 30(f)(1) whether a review was requested and, if so, must attach any changes the deponent makes during the 30-day period.

DISCLAIMER: THE FOREGOING FEDERAL PROCEDURE RULES

ARE PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

THE ABOVE RULES ARE CURRENT AS OF APRIL 1,

2019. PLEASE REFER TO THE APPLICABLE FEDERAL RULES

OF CIVIL PROCEDURE FOR UP-TO-DATE INFORMATION.

VERITEXT LEGAL SOLUTIONS COMPANY CERTIFICATE AND DISCLOSURE STATEMENT

Veritext Legal Solutions represents that the foregoing transcript is a true, correct and complete transcript of the colloquies, questions and answers as submitted by the court reporter. Veritext Legal Solutions further represents that the attached exhibits, if any, are true, correct and complete documents as submitted by the court reporter and/or attorneys in relation to this deposition and that the documents were processed in accordance with our litigation support and production standards.

Veritext Legal Solutions is committed to maintaining the confidentiality of client and witness information, in accordance with the regulations promulgated under the Health Insurance Portability and Accountability Act (HIPAA), as amended with respect to protected health information and the Gramm-Leach-Bliley Act, as amended, with respect to Personally Identifiable Information (PII). Physical transcripts and exhibits are managed under strict facility and personnel access controls. Electronic files of documents are stored in encrypted form and are transmitted in an encrypted fashion to authenticated parties who are permitted to access the material. Our data is hosted in a Tier 4 SSAE 16 certified facility.

Veritext Legal Solutions complies with all federal and State regulations with respect to the provision of court reporting services, and maintains its neutrality and independence regardless of relationship or the financial outcome of any litigation. Veritext requires adherence to the foregoing professional and ethical standards from all of its subcontractors in their independent contractor agreements.

Inquiries about Veritext Legal Solutions' confidentiality and security policies and practices should be directed to Veritext's Client Services Associates indicated on the cover of this document or at www.veritext.com.